

# Lecture 3 — User-defined types

- In this lecture, you will learn to define trees in OCaml (analogous to what you might do in Java or C++ by defining a tree class). This will allow us to define *abstract syntax trees*, which we will use extensively in this class. Abstract syntax trees are the central data structure in a compiler.
- Specific topics:
  - User-defined types in OCaml
  - Defining trees (including ASTs)

# Type abbreviations in OCaml

- OCaml allows new names to be introduced as abbreviations for types:

`type t = te`

- *te* is a type expression:

`te = int | float | ... | te * te * ... * te  
| te list | te → te`

- Examples of type expressions:

# Defining new types

- OCaml allows you to create new types by writing:

$$\text{type } t = C_1 \text{ [of } te_1] \mid \dots \mid C_n \text{ [of } te_n]$$

where  $C_1, \dots, C_n$  are *constructors* (identifiers starting with capital letters).

- The above declaration creates a new type, called  $t$ , and *automatically* creates new functions that construct values of type  $t$ :

- $C_1 : te_1 \rightarrow t$

- $\dots$

- $C_n : te_n \rightarrow t$

# Defining new types (cont.)

- For example, suppose we define this type:

```
type form_of_id = License of string
                | SScard of int * int * int
                | Student_id of string
```

- As soon as this is entered, you can enter:

```
# let myid = Student_id "123456789";;
val myid : form_of_id = Student_id "123456789"
# let hisid = SScard (123, 45, 6789);;
val hisid : form_of_id = SScard (123, 45, 6789)
```

# Defining new types (cont.)

- Think of values of type  $t$  as tuples combined with a *tag* — a number between 1 and  $n$  — saying which kind of  $t$ -typed value it is.
- Functions on values of type  $t$  can be defined using pattern-matching:

```
let f x = match x with
    C1( $x, \dots, y$ ) ->  $e_1$ 
  | C2( $x, \dots, y$ ) ->  $e_2$ 
  | ...
  | C $n$ ( $x, \dots, y$ ) ->  $e_n$ 
```

# Type definition example

```
type form_of_id = License of string
                | SScard of int * int * int
                | Student_id of string
```

```
let string_of_id id =
  match id with
  | License s -> "license " ^ s
  | SScard (x,y,z) -> "ssnum " ^ (string_of_int x)
                  ^ (string_of_int y) ^ (string_of_int z)
  | Student_id s -> "uin " ^ s
```

# Type definition exercise

- **Given type**

```
type shape = Circle of float
           | Square of float
           | Triangle of float * float * float
```

**define function** `string_of_shape: shape → string` **that prints the shape (e.g. outputs “circle 4.3” for a circle):**

```
let string_of_shape sh =
  match sh with
    Circle r ->
```

```
|
```

```
|
```

# Recursive type definitions

- In this type definition:

$$\text{type } t = C_1 \text{ [of } te_1] \mid \dots \mid C_n \text{ [of } te_n]$$

the type expressions  $te_i$  can contain  $t$ , making the type declaration recursive. This allows for the definition of types like lists and trees, e.g.

```
type mylist = Empty | Cons of int * mylist
let list1 = Cons (3, Cons (4, Empty))
```

- Ex: write the function  $\text{sum} : \text{mylist} \rightarrow \text{int}$ .



# Defining trees

- **Binary trees (with integer labels):**

```
type bintree = Empty
              | Node of int * bintree * bintree
```

```
let tree1 = Node (3,
                  Node (6, Empty, Empty),
                  Node (7, Empty, Empty));;
```

- **Arbitrary trees (with integer labels):**

```
type tree = Node of int * tree list
```

```
let smalltree = Node (3, [])
```

```
let bigtree = Node (3, [Node(...), Node(...), ...])
```

# Exercises: Functions on binary trees

```
type bintree = Empty  
             | Node of int * bintree * bintree
```

## ● Define isLeaf: bintree $\rightarrow$ bool

```
let isLeaf t = match t with  
  Empty ->  
  
  Node(i, t1, t2) ->
```

## ● Define sum: bintree $\rightarrow$ int

```
let sum t = match t with  
  Empty ->  
  
  Node(i, t1, t2) ->
```

# Polymorphic types

- We can define a type of binary trees with labels of any type (but all the same type for any particular tree):

```
type 'a bintree = Empty
                | Node of 'a * 'a bintree * 'a bintree
```

```
let x = Node("ben", Empty, Empty)
let y = Node(4.5, Empty, Empty)
```

- The `sum` function defined above still works, when applied to a value of type `int bintree`.
- bintrees are homogeneous, e.g.

```
Node("ben", Node(4, Empty, Empty), Empty)
```

**gives a type error.**

# Mutually recursive types

- Sometimes two user-defined types are mutually interdependent: values of either type can contain values of the other type. To define mutually-recursive types, give both type declarations separated by the word `and`:

```
type ocamlexpr = Name of string | Intconst of int
                | Let of definition * ocamlexpr
and definition = Def of string * ocamlexpr
```

- The above defines two types and four constructors:
  - `Name: string → ocamlexpr`
  - `Intconst: int → ocamlexpr`
  - `Let: definition * ocamlexpr → ocamlexpr`
  - `Def: string * ocamlexpr → definition`

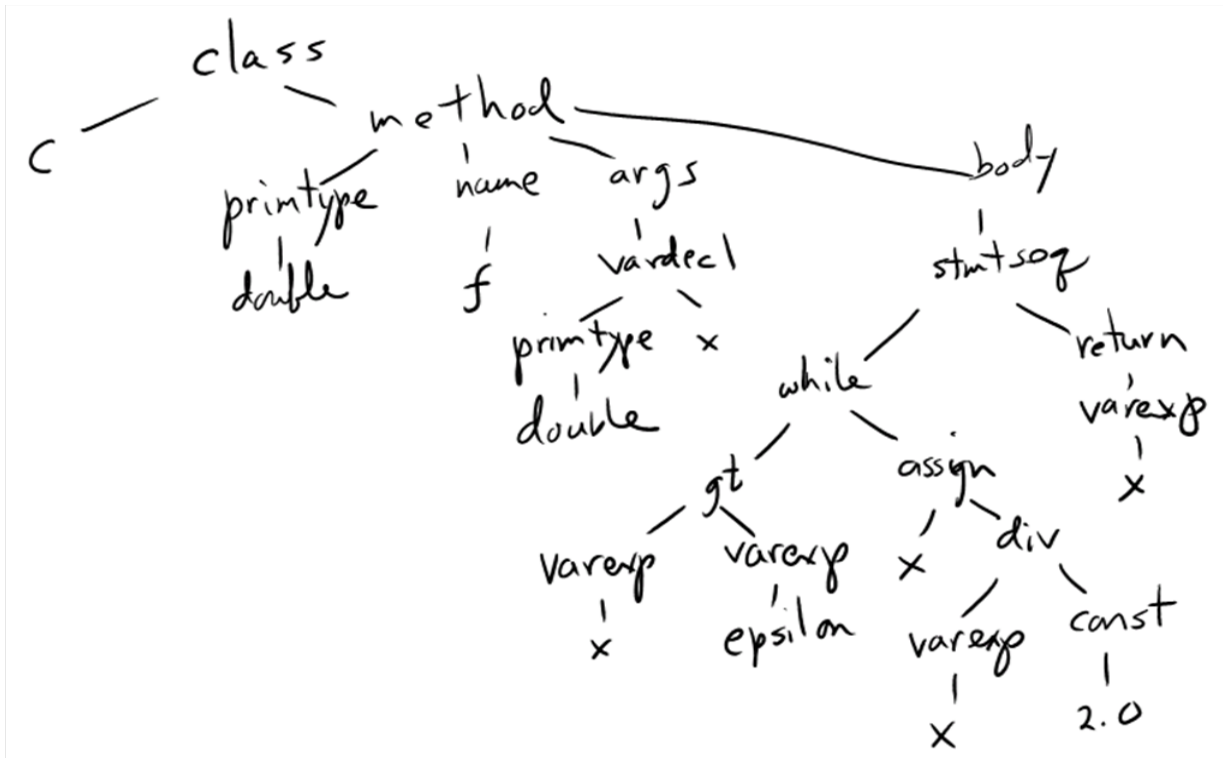
# Abstract syntax

- *Abstract syntax* is a tree representation of the syntactic structure of programs.
- The internal nodes of abstract syntax trees are labelled with names, called *abstract syntax operators*; the leaf nodes are labelled with strings, ints, etc.
- The specific trees used to represent programs in a given language are determined by the person writing the language processor (e.g. compiler).
- For example, this program in Java:

```
class C {  
    double f (double x) {  
        while (x > epsilon) x = x/2.0;  
        return x; } }  
}
```

# Abstract syntax (cont.)

would be represented by a tree something like this:



- In OCaml, type definitions can be used to define abstract syntax, and pattern-matching can be used to define functions on abstract syntax trees.

# Ex: Abstract syntax of simple expressions

- Here is an abstract syntax for simple arithmetic expressions as an OCaml data type:

```
type expr = Int of int | Plus of expr*expr
          | Times of expr*expr | Negate of expr
```

- For example:
  - `Plus(Int 3, Int 5)` is abstract syntax for `3+5`
  - `Plus(Int 3, Times(Int 5, Int 6))` is abstract syntax for `3+5*6` or `3+(5*6)`
  - `Times(Plus(Int 3, Int 5), Int 6)` is abstract syntax for `(3+5)*6`

# Exercises using expr

```
type expr = Int of int | Plus of expr*expr  
           | Times of expr*expr | Negate of expr
```

- Show the abstract syntax tree for expression  $4+- (7*-8+4)$ :
  
- Give the OCaml expression of type `expr` for that tree:



# Exercises using `expr` (cont.)

- Write the function `countPluses: expr → int`, which counts the number of Plus operations in an `expr`:

```
type expr = Int of int | Plus of expr*expr
          | Times of expr*expr | Negate of expr
```

```
let rec countPluses e = match e with
  Int i ->
  | Plus(e1, e2) ->
  | Times(e1, e2) ->
  | Negate e ->
```

# Exercises using `expr` (cont.)

- Write the function `eval: expr → int`, which evaluates its argument, e.g. `eval (Times(Negate(Int 5), Int 6)) = -30`.

```
let rec eval e = match e with
  Int i ->

  | Plus(e1, e2) ->

  | Times(e1, e2) ->

  | Negate e ->
```

# Wrap-up

- **Today we discussed:**
  - How to define new types in OCaml
  - Especially trees
  - Especially abstract syntax trees
- **We discussed it because:**
  - ASTs are central to writing compilers
- **In the next class, we will:**
  - Do more programming with ASTs
- **What to do now:**
  - Just come back on Thursday...