

# Exercise: Java programs

```
class C { int i;  
    int seti (int j) { i=j; return i; }  
    int geti () { return i; } }
```

```
class D { int f() { x = new C();  
    x.seti(10);  
    return x.geti(); // ? 10  
}
```

```
class E { int f() { x = new C();  
    y = x;  
    x.seti(10);  
    return y.geti(); // ? 10  
}
```

# SOS rules for objects in MiniJava (v. 1)

- Objects are just maps from variables to values, together with the name of the class:

```
type value = IntV of int | StringV of string | BoolV of bool | NullV
    | ObjectV object
and varname = string and binding = (varname * value)
and object = id * (binding list) and state = binding list
```

- SOS rules for new expressions:

(NEW)  $\text{new } C(), \sigma, \pi \Downarrow$

*Ignore*

(THIS)  $\text{this}, \sigma, \pi \Downarrow$

# SOS rules for objects in MiniJava (v. 1), cont.

(VAR)  $x, \sigma, \pi \Downarrow v$

(FIELD)  $x, \sigma, \pi \Downarrow v$

*Ignore*

(VARASGN)  $x = e, \sigma, \pi \Rightarrow$

(FIELD~~ASGN~~)  $x = e, \sigma, \pi \Rightarrow$

# SOS rules for objects in MiniJava (v. 1), cont.

(METHOD-CALL)

$e_0.f(e_1, \dots, e_n), \sigma, \pi \Rightarrow$

*Ignore*

*Which Java examples work?*

# SOS rules (v. 2) (cont.)

```
type stackvalue = IntV of int | StringV of string  
                     | BoolV of bool | NullV | Location of location  
and location = int  
type environment = (varname * stackvalue) list  
type heapvalue = Object of classname * environment  
type store = heapvalue list  
type state = environment * store
```

- Write an expression of type state for a state that contains variables x bound to 3 and y bound to an object of class C; C contains fields a and b, and in y these have integer values 4 and 5.

( [ ("x", IntV 3); ("y", Location 0) ],  
 [ Obj of ('C', [ ("a", IntV 4); ("b", IntV 5) ] ) ] )

# SOS rules (v. 2) (cont.)

- Give the SOS rule for new:

(NEW) new C(),  $(\rho, \eta)$ ,  $\pi \Downarrow$

See MP6

- New form of SOS rules reflected in new type of eval:

```
let rec eval (e:exp) ((env,sto) as sigma:state) (prog:program)
    : stackvalue * store =
```

- and the corresponding clause in eval (you can assume any auxiliary functions you think useful):

| NewId c ->

See MP6 solution

## SOS rules (v. 2) (cont.)

(NOT)  $\mathbf{!}e, (\rho, \eta), \pi \Downarrow$

*See MP L*

(INT-MULT)  $e_1 * e_2, (\rho, \eta), \pi \Downarrow$

# SOS rules (v. 2) (cont.)

(VAR)  $x, (\rho, \eta), \pi \Downarrow$

*See MP 6*

(FIELD)  $x, (\rho, \eta), \pi \Downarrow$

(METHOD-CALL)

$e_0.f(e_1, \dots, e_n), (\rho, \eta), \sigma, \pi \Downarrow v$

# Some SOS rules from MP 5

## (Plus-Int)

$$e_1 + e_2, \sigma, \pi \Downarrow \text{IntV } (i_1 + i_2)$$

$$e_1, \sigma, \pi \Downarrow \text{IntV } i_1$$

$$e_2, \sigma, \pi \Downarrow \text{IntV } i_2$$

## (Mult-Int)

$$e_1 * e_2, \sigma, \pi \Downarrow \text{IntV } (i_1 * i_2)$$

$$e_1, \sigma, \pi \Downarrow \text{IntV } i_1$$

$$e_2, \sigma, \pi \Downarrow \text{IntV } i_2$$

## (Var)

$$x, \sigma, \pi \Downarrow \sigma(x) \quad \text{if } \sigma(x) \neq \perp$$

## (Int-Const)

$$i, \sigma, \pi \Downarrow \text{IntV } i$$

## (Method-Call)

$$x.f(e_1, \dots, e_n), \sigma, \pi \Downarrow v$$

$$e_1, \sigma, \pi \Downarrow v_1$$

:

$$e_1, \sigma, \pi \Downarrow v_1$$

$$sl, \sigma_0, \pi \Rightarrow \sigma'_0$$

$$e, \sigma'_0, \pi \Downarrow v$$

where  $\sigma_0 = [(x_1, v_1); \dots; (y_0, \text{NullV}); \dots]$

and  $f$  is defined in  $\pi$  as

$$\text{Method(t, f, [x}_1; \dots], [y}_1; \dots], sl, e)$$

## (Stmt-List)

$$s_1; \dots; s_n, \sigma_1, \pi \Rightarrow \sigma_{n+1}$$

$$s_1, \sigma_1, \pi \Rightarrow \sigma_2$$

:

$$s_n, \sigma_n, \pi \Rightarrow \sigma_{n+1}$$

## (Stmt-List-0)

$$[], \sigma_1, \pi \Rightarrow \sigma_1$$

## (Assignment)

$$x=e, \sigma, \pi \Rightarrow \sigma[v/x], \quad \text{if } \sigma(x) \neq \perp$$

$$e, \sigma, \pi \Downarrow v$$

# Proofs in SOS

- Use SOS rules to obtain results of entire computations.

$3 + (4 * 5)$ , $\sigma, \pi \Downarrow \text{IntV } 23$	(PLUS-INT)
$3, \sigma, \pi \Downarrow \text{IntV } 3$	(INT-CONST)
$4 * 5, \sigma, \pi \Downarrow \text{IntV } 20$	(MULT-INT)
$4, \sigma, \pi \Downarrow \text{IntV } 4$	(INT-CONST)
$5, \sigma, \pi \Downarrow \text{IntV } 5$	(INT-CONST)

- Exercise:

$(3 * 4) + (5 * 2)$ , $\sigma, \pi \Downarrow \text{IntV } 22$	(INT-PLUS)
$3 * 4, \sigma, \pi \Downarrow 12$	(Mult-Int)
$3, \sigma, \pi \Downarrow 3$	(Int - const)
$4, \sigma, \pi \Downarrow 4$	(Int - const)
$5 * 2, \sigma, \pi \Downarrow 10$	(Mult-Int)
$5, \sigma, \pi \Downarrow 5$	(Int - const)
$2, \sigma, \pi \Downarrow 2$	(Int - const)

# Proofs in SOS (cont.)

- Suppose  $\pi$  contains class Main with method: int f (int a)  
{ return a; }.

x=5;y=null.f(x), $\sigma_0$ , $\pi \Rightarrow \sigma_2$	(STMTLIST)
x=5, $\sigma_0$ , $\pi \Rightarrow \sigma_1$	(ASSIGNMENT)
5, $\sigma_0$ , $\pi \downarrow \text{IntV } 5$	(INT-CONST)
y=null.f(x), $\sigma_1$ , $\pi \Rightarrow \sigma_2$	(ASSIGNMENT)
null.f(x), $\sigma_1$ , $\pi \downarrow \text{IntV } 5$	(METHOD-CALL)
x, $\sigma_1$ , $\pi \downarrow \text{IntV } 5$	(VAR)
[], $\sigma_3$ , $\pi \Rightarrow \sigma_3$	(STMTSEQ-0)
a, $\sigma_3$ , $\pi \downarrow \text{IntV } 5$	(VAR)

where  $\sigma_0 = [(x,\text{IntV } 0);(y,\text{IntV } 0)]$ ,  $\sigma_1 = [(x,\text{IntV } 5);(y,\text{IntV } 0)]$ ,  $\sigma_2 = [(x,\text{IntV } 5);(y,\text{IntV } 5)]$ , and  $\sigma_3 = [(a,\text{IntV } 5)]$ .

# Proofs in SOS (cont.)

- Exercise: Same statements, but int f (int  $\alpha$ ) return a+1;

$x = 5; y = \text{null}.f(x)$ , $\sigma_0, \pi \Rightarrow \sigma_5$	$(\sigma_5 = [(x, \text{IntV } 5); (y, \text{IntV } 6)])$	(Start List)
$x = 5, \sigma_0, \pi \Rightarrow \sigma_1$	$(\sigma_1 = [(x, \text{IntV } 5)])$	(Asgn)
$5, \sigma_0, \pi \Downarrow 5$		(Int Const)
$y = \text{null}.f(x), \sigma_1, \pi \Rightarrow \sigma_5$		(Asgn)
$\text{null}.f(x), \sigma_1, \pi \Downarrow 6$		(Method call)
$x, \sigma_1, \pi \Downarrow 5$		(Var)
$[], \sigma_2, \pi \Rightarrow \sigma_2$	$(\sigma_2 = [(a, 5)])$	(Start List C)
$a + 1, \sigma_2, \pi \Downarrow 6$		(Int Plus)
$a, \sigma_2, \pi \Downarrow 5$		(Var)
$1, \sigma_2, \pi \Downarrow 1$		(Int Const)

# SOS rules (v. 2) (cont.)

(NOT)  $\mathbf{!}e, (\rho, \eta), \pi \Downarrow$

See MPL

(INT-MULT)  $e_1 * e_2, (\rho, \eta), \pi \Downarrow$

# SOS rules (v. 2) (cont.)

(VAR)  $x, (\rho, \eta), \pi \Downarrow$

See MP6

(FIELD)  $x, (\rho, \eta), \pi \Downarrow$

(METHOD-CALL)

$e_0.f(e_1, \dots, e_n), (\rho, \eta), \sigma, \pi \Downarrow v$

# SOS rules (v. 2) (cont.)

- Rules for statements actually don't change — they always pass the state along from one to the next — except for assignment.

(VARASGN)  $x = e, (\rho, \eta), \pi \Rightarrow$

*See MP6*

(FIELDASGN)  $x = e, (\rho, \eta), \pi \Rightarrow$

# Inheritance in Java

// EXAMPLE 1

```
class B {  
    string f() { return this.g(); }  
    string g() { return "B"; } }
```

```
class C extends B {  
    string g() { return "C"; } }
```

```
x = new B(); y = new C();  
x.f(); // ? "B"  
y.f(); // ? "C"
```

// EXAMPLE 2

```
class B { B aB;  
    void r() { aB = this; }  
    string s() { return aB.g(); }  
    string g() { return "B"; } }
```

```
class C extends B {  
    string g() { return "C"; } }
```

```
x = new B(); y = new C(); x.r(); y.r();  
x.s(); // ? "B"  
y.s(); // ? "C"
```

# Inheritance in Java (cont.)

```
// EXAMPLE 3
class B {
    B aB;
    void q(B x) { aB = x; }
    string s() { return aB.g(); }
    string g() { return "B"; }

x = new B(); y = new C();
x.q(x); x.s(); // ? "B"
x.q(y); x.s(); // ? "C"
y.q(y); y.s(); // ? "C"
y.q(x); y.s(); // ? "B"
```

Handwritten annotations in red:

- "x.q(x); x.s(); // ?" is followed by "B"
- "x.q(y); x.s(); // ?" is followed by "C"
- "y.q(y); y.s(); // ?" is followed by "C"
- "y.q(x); y.s(); // ?" is followed by "B"

# Inheritance in Java (cont.)

```
// EXAMPLE 4
```

```
class B {  
    string f() { return this.g(); } }  
    string g() { return "B"; } }
```

```
class C extends B {  
    B b;  
    string g() { return "C"; }  
    string f() { return b.g(); }  
    void h(B y) { b = y; } }
```

```
x = new B(); y = new C();  
y.h(y); y.f(); // ? "C"  
y.h(x); y.f(); // ? "B"
```

# Type-checking rules for MJ

- Will give several kinds of judgments.  $\Gamma$  is a *type environment*, giving the types of variables.

$\vdash \pi$	$\pi$ is a type-correct program
$\pi \vdash \kappa$	$\kappa$ is a type-correct class in program $\pi$
$\pi, \Gamma \vdash \mu$	$\mu$ a type-correct method in a class whose fields are given in $\Gamma$ .
$\pi, \Gamma \vdash S$	$S$ is a type-correct statement, when $\Gamma$ gives all variable declarations surrounding $S$ (fields, parameters, locals)
$\pi, \Gamma \vdash e : \tau$	$e$ is a type-correct expression of type $\tau$ .

- For now, ignore subclassing (i.e. inheritance)...

# Type-checking rules for programs and classes

$\vdash \pi$  where  $\pi = cl_1 \dots cl_n$

$\begin{array}{l} \pi \vdash cl_1 \\ \vdots \\ \pi \vdash cl_n \end{array}$  (and  $cl_1 \dots cl_n$  have different names)

$\pi \vdash \text{class } c \{ fld_1 \dots fld_k \mu_1 \dots \mu_m \}$

$\begin{array}{l} \pi, \Gamma_c \vdash \mu_1, \dots, \mu_m \text{, where } T_c = \{ fld_1, \dots, fld_k \} \\ \vdots \\ \pi, \Gamma_c \vdash \mu_m \end{array}$  (and all fields have distinct names and all methods have distinct names (ignoring overloading))

# Type-checking rules for methods

$\pi, \Gamma \vdash \tau \ f \ (\text{args}) \ \{ \ S_1 \dots S_n \ \text{return } e \ \}$

$\pi, \Gamma_{\text{args}} \vdash \delta_1$

$\vdots$

$\pi, \Gamma_{\text{args}} \vdash \delta_n$

$\pi, \Gamma_{\text{args}} \vdash e : \tau'$

where  $\Gamma_{\text{args}} = \Gamma \cup \{\text{args}\}$

and  $\tau' = \tau$  (no subclasses)

or  $\tau' < \tau$  (subclasses)

# Type-checking rules for statements

$\pi, \Gamma \vdash \text{if } (e) S_1 \text{ else } S_2$

$\pi, \Gamma \vdash e : \text{bool}$

$\pi, \Gamma \vdash S_1$

$\pi, \Gamma \vdash S_2$

$\pi, \Gamma \vdash x = e$

$\pi, \Gamma \vdash e : \Sigma$

where  $\Sigma = \Gamma(x)$  (no subtyping)  
or  $\Sigma < \Gamma(x)$  (subtyping)

# Type-checking rules for expressions

$\pi, \Gamma \vdash x : \text{Type}(x)$

$\pi, \Gamma \vdash \text{not } e : \text{bool}$

$\text{Type}, \Gamma \vdash e : \text{bool}$

$\pi, \Gamma \vdash e_1 * e_2 : \text{int}$

$\text{Type}, \Gamma \vdash e_1 : \text{int}$

$\text{Type}, \Gamma \vdash e_2 : \text{int}$

$\pi, \Gamma \vdash e_1 + e_2 : \text{int}$

$\text{Type}, \Gamma \vdash e_1 : \text{int}$

$\text{Type}, \Gamma \vdash e_2 : \text{int}$

# Type-checking rules for expressions

$\pi, \Gamma \vdash e_1 + e_2 : \text{string}$

$\pi, \Gamma \vdash e_1 : \text{string}$   
 $\pi, \Gamma \vdash e_2 : \Sigma \quad (\text{any } \Sigma)$

$\pi, \Gamma \vdash e_1 + e_2 : \text{string}$

$\pi, \Gamma \vdash e_1 : \Sigma \quad (\text{any } \Sigma)$   
 $\pi, \Gamma \vdash e_2 : \text{string}$

$\pi, \Gamma \vdash e_0.f(e_1, \dots, e_n) : \Sigma$

$\pi, \Gamma \vdash e_0 : C$        $C \text{ defines } \Sigma f(\Sigma, x_1, \dots, \Sigma x_n) \{\dots\}$   
 $\pi, \Gamma \vdash e_i : \Sigma_i$        $(\text{no subtyping})$

$\pi, \Gamma \vdash e_n : \Sigma_n$        $\text{or } C \text{ inherits definition}$   
                                         $\Sigma f(\Sigma, x_1, \dots, \Sigma x_n) \{\dots\}$

# Type-checking rules, with subclasses

$\pi, \Gamma \vdash x = e$

$\pi, \Gamma \vdash \tau \ f \ (\text{args}) \ \{ \ S_1 \dots S_n \ \text{return} \ e \ \}$

Already given

# Type-checking rules, with subclasses (cont.)

$\pi, \Gamma \vdash e_0.f(e_1, \dots, e_n) : \tau$

Already given

$\pi \vdash \text{class } c \text{ extends } s \{ fld_1 \dots fld_k \mu_1 \dots \mu_m \}$

$\pi, \Gamma_c \vdash \mu_1$

:

$\pi, \Gamma_c \vdash \mu_n$

where  $\Gamma_c = \{fld_1, \dots, fld_k\}$   
   $\cup$  fields inherited  
  from  $s$  and  $s$ 's  
  ancestors

# Type-checking in MP7

- Check types and add locations, constructing new AST of type programT:

```
type programT = ProgramT of (class_declT list)

and class_declT = ClassT of id * id * ((var_kind * var_decl) list)
    * (method_declT list) * int (* number of fields *)

and method_declT = MethodT of exp_type * id * (var_decl list)
    * (var_decl list) * (statementT list) * annExpT * int (* size of stack frame *)

and statementT = BlockT of (statementT list)
| IfT of annExpT * statementT * statementT
| AssignVarT of id * annExpT * int
| AssignFieldT of id * annExpT * int

and annExpT = expT * exp_type * int

and expT = OperationT of annExpT * binary_operation * annExpT | IntegerT of int
| TrueT | FalseT | MethodCallT of annExpT * id * (annExpT list) | ThisT | NewIdT of id
| VarT of id | FieldRef of int | NewIdAlloc of id * int | NotT of annExpT | NullT
| StringT of string | CvtIntToStringT of annExpT | CvtBoolToStringT of annExpT
```

# Example

- Frame consists of four integer variables (x, y, z, and w (locations 1, 2, 3, 4); objects include field s, of type string, at offset 5. Write statementT for:

$z = x + 3$

AssignVarT("z",  
Operation((VarT "x", IntType, L), Plus,  
(Integer 3, IntType, 5)),  
3)      location of z      location of temporary

$s = s + 2$

AssignFieldT("s",  
Operation((FieldRef 5, IntType, S), Plus  
(Integer 2, IntType, 6)),  
5)      locations of temporaries

# Abstract machine exercises

Suppose the code sequence  $C$  has the following instructions at locations 10–12:

LOADIMM	6,3
ADD	5,1,6
MOV	3,5

If the current frame has values: [3,7,2,4,9,21,13,15], give the state after each instruction:

(10,  $C$ , [3,7,2,4,9,21,13,15], h, t, r)

LOADIMM 6,3

(11,  $C$ , [3,7,2,4,9,21,3,15], h, t, r)

ADD 5,1,6

(12,  $C$ , [3,7,2,4,9,10,3,15], h, t, r)

MOV 3,5

(13,  $C$ , [3,7,2,10,9,10,3,15], h, t, r)

# Compilation of methods

MethodT(typ,f,args,vars,sl,ret,sz) ~~>

See MP7

# Compilation of expressions

IntegerT i, loc  $\rightsquigarrow$

StringT s, loc  $\rightsquigarrow$

TrueT, loc  $\rightsquigarrow$

VarT id, loc  $\rightsquigarrow$

NotT e, loc  $\rightsquigarrow$

See MP7

# Compilation of expressions (cont.)

OperationT( $e_1$ , Plus,  $e_2$ ), loc  $\rightsquigarrow$

See MP7

CvtIntToString e, loc  $\rightsquigarrow$

NewIdAlloc(c,sz), loc  $\rightsquigarrow$

# Compilation of statements

$x = e, m \rightsquigarrow$

See MR 7

$\{ S_1, \dots, S_n \}, m \rightsquigarrow$

if ( $e$ )  $S_1$  else  $S_2, m \rightsquigarrow$

# Method calls

MethodCallT(e0,f,[e1,...,en])

See MP 7

# Compiling while statements

While ( $e$ )  $S, m \rightsquigarrow$

[JUMP  $m'$ ] @  $ils \circ ile \circ [CJUMP \ loc, m + 1, m'']$ ,  $m''$

$S, m + 1 \rightsquigarrow ils, m'$

$e, loc \rightsquigarrow ile$

(where  $m'' = m' + |ile| + 1$ )

do  $S$  while ( $e$ ),  $m \rightsquigarrow ils \circ ile \circ [CJUMP \ loc, m, m' + |ile| + 1]$

$S, m \rightsquigarrow ils, m'$

$e, m' \rightsquigarrow ile$

# Short-circuit evaluation of boolean expressions

- The best way to compile boolean expressions is to avoid computing the value of the expression.

$e, m, t, f \rightsquigarrow_2 il, m'$

- Some expressions are compiled very simply:

**True**,  $m, t, f \rightsquigarrow_2 [\text{JUMP } t], m + 1$

**False**,  $m, t, f \rightsquigarrow_2 [\text{JUMP } f], m + 1$

**!** $e, m, t, f \rightsquigarrow_2 il, m'$

$e, m, f, t \rightsquigarrow_2 il, m'$

# Short-circuit evaluation of boolean expressions (cont.)

$e_1 \& \& e_2, m, t, f \rightsquigarrow_2$

$e_1 || e_2, m, t, f \rightsquigarrow_2$

See MP7  
extra credit

If ( $e$ )  $S_1$  else  $S_2, m \rightsquigarrow$

# Arrays in MJ

- Arrays stored in the heap. Contents are integers — values or pointers to heap objects (including arrays).
- Have instruction (not used in MP7):

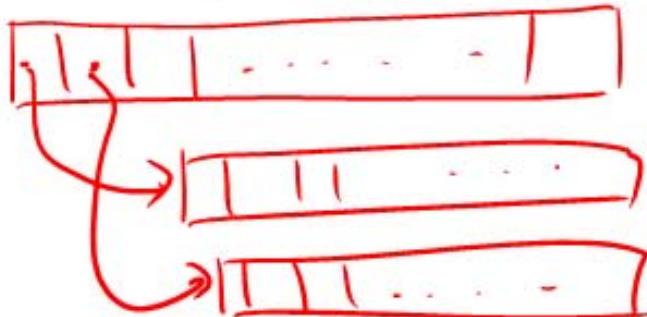
```
ARRAYREF tgt,src,indx: (p, c, s, h, t, r)
                         -> (p+1, c, s[i/tgt], h, t, r)
```

- Array indexing:

$a[e]$ , **loc**  $\rightsquigarrow$  *il*  $\in$  ARRAYREF loc, addr( $a$ ), loc<sub>1</sub>  
 $e$ , loc<sub>1</sub>  $\rightsquigarrow$  *il*

# Multi-dimensional arrays in MJ

- A multi-dimensional array is an array that contains pointers to other arrays.



- Array indexing for multi-dimensional arrays:

$e_1[e_2]$ , **loc**  $\rightsquigarrow d_1 @ \cdot d_2 @ \text{ARRAYREF } loc, loc1, loc2$

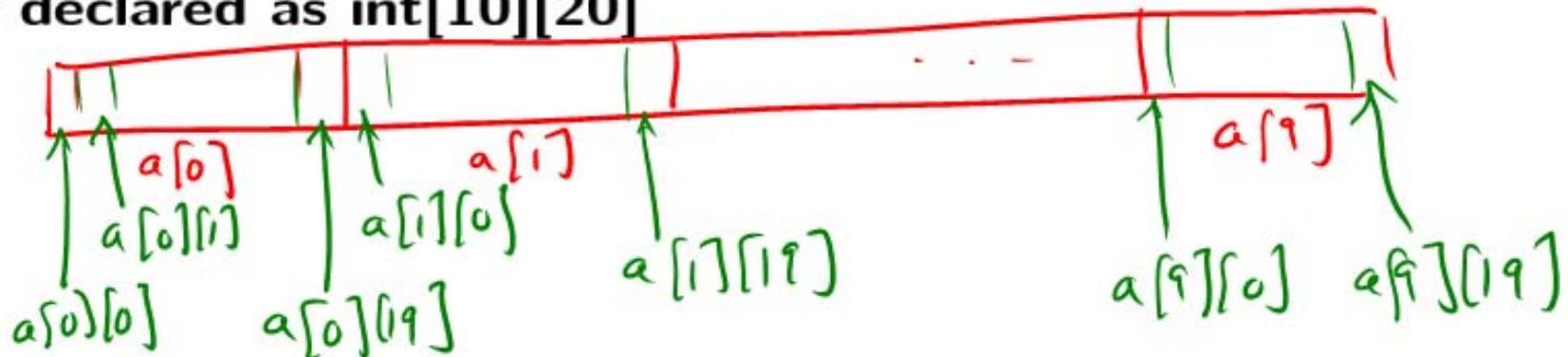
$e_1, loc1 \rightsquigarrow \cdot d_1$

$e_1, loc2 \rightsquigarrow \cdot d_2$

# Arrays in C

- Arrays are addresses:  $a[i] \equiv a + i$  (where  $i$  is multiplied by the size of  $a$ 's elements)
- Multi-dimensional arrays always rectangular, and arranged in row-major order:

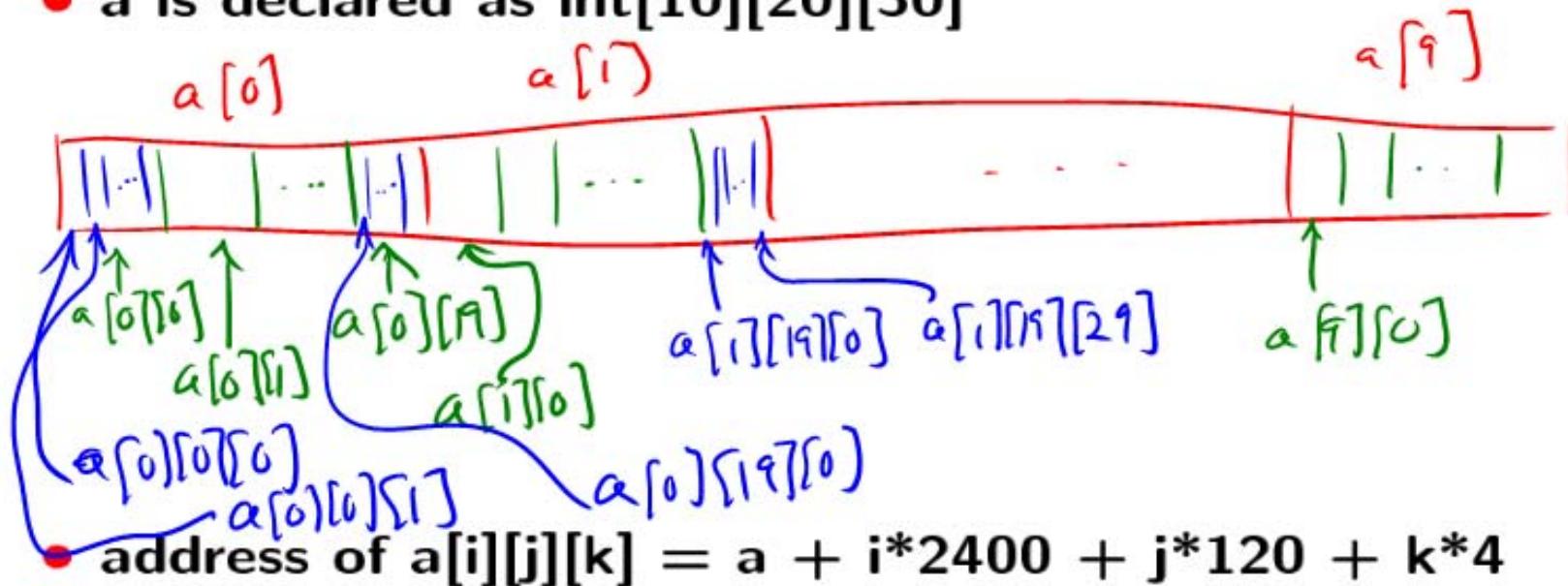
- $a$  is declared as `int[10][20]`



- address of  $a[i][j] = a + i*80 + j*4$ .

# Arrays in C (cont.)

- **a is declared as  $\text{int}[10][20][30]$**



- Rule is: **address of  $e_1[e_2]$  = address of  $e_1$  + ( $e_2 * (\text{size of elements of } e_1)$ )**

# V-tables (cont.)

- Draw a table for each class, listing all the methods belonging to that class (including inherited ones). The order should be from top of the hierarchy to the bottom.

```
class B {  
    void f() {}  
    void g() {}  
}
```

f
g
j

```
class C1 extends B {  
    void h() {}  
}
```

f
g
j
h

```
class C2 extends B {  
    void g() {}  
}
```

f
g
j
d

```
class D extends C1 {  
    void i() {}  
    void g() {}  
}
```

f
g
d
h
i

# Exercises with anonymous functions

- Define a list of three functions: the first adds 1 to any integer; the second doubles any integer; and the third triples any integer. Then apply the second function to 4:

```
let funlis =  
  [fun x → x+1 ; fun x → x*2 ; fun x → x*3 ]  
in ( hd (tl funlis) ) 4
```

- Transform the following expression to the form let rec length = ... , and remove pattern-matching:

```
let rec length lis = match lis with [] -> 0 | h::t -> 1 + length t  
in length [1;2;3]
```

let rec length = fun lis → if lis = [] then 0  
else 1 + length (tl lis)

in length [1;2;3]

# Abstract syntax of MiniOCaml

```
type exp =
  Operation of exp * binary_operation * exp
  | UnaryOperation of unary_operation * exp
  | Var of string | StrConst of string | IntConst of int
  | FloatConst of float | True | False
  | List of exp list | Tuple of exp list
  | If of exp * exp * exp | App of exp * exp
  | Let of string * exp * exp
  | Fun of string * exp
  | Rec of string * exp

and binary_operation = Semicolon | Comma | Equals | LessThan
  | GreaterThan | NotEquals | Assign | And | Or
  | IntPlus | IntMinus | IntDiv | IntMult
  | FloatPlus | FloatMinus | FloatDiv | FloatMult
  | StringAppend | ListAppend

and unary_operation = Not | Head | Tail | Fst | Snd
```

## Exercise: define closed

(\* closed e is true iff e has no free variables.  
closed1 e varlist is true iff any free variables in e are in varlist \*)

```
let closed (e:exp) : bool =  
  let rec closed1 (e:exp) (vars:string list) : bool =
```

match e with

Operation (e1, bop, e2) → closed1 e1 vars && closed1 e2 vars

| Var x → x in vars

| Let(y, e1, e2) → closed1 e1 vars  
 && closed1 e2 (y :: vars)

| Fun(x, e) → closed1 e (x :: vars)

:

in closed1 e []

# Rules for evaluation by substitution

IntConst  $i \Downarrow$

See MP8 or lecture 19

$e_1 + e_n \Downarrow$

List  $[e_1, \dots, e_n] \Downarrow$

# Rules for evaluation by substitution (cont.)

If( $e_1, e_2, e_3$ )  $\Downarrow$

See MP8 or lecture 19

Fun( $x, e$ )  $\Downarrow$

# Rules for evaluation by substitution (cont.)

Let  $(x, e_1, e_2) \Downarrow$

See MP8 or Lecture 19

$e_1 \ e_2 \Downarrow$

# Examples of evaluation by substitution

let x = 3 in x+1  $\Downarrow$  4 (let)  
3  $\Downarrow$  3 (cont)  
3+1  $\Downarrow$  4 (f)  
3  $\Downarrow$  3 (cont)  
( $\Downarrow$ ) 1 (cont)

(fun x -> x+1) 3  $\Downarrow$  4 (app)  
fun x->x+1  $\Downarrow$  fun x->x+1 (fun)  
3  $\Downarrow$  3 (cont)  
3+1  $\Downarrow$  4 (f)  
3  $\Downarrow$  3 (cont)  
1  $\Downarrow$  1 (cont)

# Examples of evaluation by substitution

$((\text{fun } x \rightarrow \text{fun } y \rightarrow x+y) 3) 4 \Downarrow 7$  (app)

$(\text{fun } x \rightarrow \text{fun } y \rightarrow x+y) 3 \Downarrow \text{fun } y \rightarrow 3+y$  (app)  
 $\text{fun } x \rightarrow \text{fun } y \rightarrow x+y \Downarrow \text{fun } x \rightarrow \text{fun } y \rightarrow x+y$  (fun)  
 $\text{fun } x \rightarrow \text{fun } y \rightarrow x+y \Downarrow \text{fun } y \rightarrow x+y$  (cont)  
 $\text{fun } y \rightarrow 3+y \Downarrow \text{fun } y \rightarrow 3+y$  (fun)  
 $\text{fun } y \rightarrow 3+y \Downarrow 7$  (cont)  
 $4 \Downarrow 4$   
 $3+4 \Downarrow 7$

---

let  $f = \text{fun } x \rightarrow \text{fun } y \rightarrow x+y \Downarrow 3$  (let)  
in let  $g = f 1$   
in  $g 2$   
 $\text{fun } x \rightarrow \text{fun } y \rightarrow x+y \Downarrow \text{fun } x \rightarrow \text{fun } y \rightarrow x+y$  (fun)  
let  $g = (\text{fun } x \rightarrow \text{fun } y \rightarrow x+y) 1 \Downarrow 3$  (let)  
 $(\text{fun } x \rightarrow \text{fun } y \rightarrow x+y) 1 \Downarrow \text{fun } y \rightarrow 1+y$  (app)  
 $\text{fun } x \rightarrow \text{fun } y \rightarrow x+y \Downarrow \text{fun } x \rightarrow \text{fun } y \rightarrow x+y$  (fun)  
 $\text{fun } x \rightarrow \text{fun } y \rightarrow x+y \Downarrow \text{fun } y \rightarrow 1+y$  (cont)  
 $\text{fun } y \rightarrow 1+y \Downarrow \text{fun } y \rightarrow 1+y$  (fun)  
 $\text{fun } y \rightarrow 1+y \Downarrow 3$  (app)  
 $(\text{fun } y \rightarrow 1+y) 2 \Downarrow 3$

# Substitution model evaluation rules

(Const)  $\text{Const} \times \Downarrow \text{Const} \times$

(Fun)  $\text{Fun}(a, e) \Downarrow \text{Fun}(a, e)$

(Rec)  $\text{Rec}(f, \text{Fun}(a, e)) \Downarrow \text{Fun}(a, e[\text{Rec}(f, \text{Fun}(a, e))/f])$

( $\delta$ )  $e \ op \ e' \Downarrow v \ OP \ v'$   
 $e \Downarrow v$   
 $e' \Downarrow v'$

( $\delta$ )  $op \ e \Downarrow OP \ v$   
 $e \Downarrow v$

(If)  $\text{If}(e_1, e_2, e_3) \Downarrow v$   
 $e_1 \Downarrow \text{True}$   
 $e_2 \Downarrow v$

(If)  $\text{If}(e_1, e_2, e_3) \Downarrow v$   
 $e_1 \Downarrow \text{False}$   
 $e_3 \Downarrow v$

(List)  $[e_1, \dots, e_n] \Downarrow [v_1, \dots, v_n]$   
 $e_1 \Downarrow v_1$   
 $\vdots$   
 $e_n \Downarrow v_n$

(App)  $e \ e' \Downarrow v$   
 $e \Downarrow \text{Fun}(a, e'')$   
 $e' \Downarrow v'$   
 $e''[v'/a] \Downarrow v$

(Let)  $\text{Let}(a, e, e') \Downarrow v'$   
 $e \Downarrow v$   
 $e'[v/a] \Downarrow v'$

## Ex. evaluation by substitution

```
let x = 3 in x+1
```

Done above

```
(fun x -> x+1) 3
```

## Ex. evaluation by substitution

```
let f = fun x -> fun y -> x+y  
in let g = f 1 in g 2
```

Done above

# Ex. evaluation by substitution

Let  $\mathcal{F}$  denote "rec fac (fun x -> if x=0 then 1 else x \* fac(x-1))".

```
let fac =  $\mathcal{F}$  in fac 2 ↓ 2
 $\mathcal{F}$  ↓ fun x -> if x=0 then 1 else x *  $\mathcal{F}$ (x-1)
(fun x -> if x=0 then 1 else x *  $\mathcal{F}$ (x-1)) 2 ↓ 2
  fun x -> if x=0 then 1 else x *  $\mathcal{F}$ (x-1) ↓ fun x -> if x=0 then 1 else x *  $\mathcal{F}$ (x-1)
  2 ↓ 2
  if 2=0 then 1 else 2 *  $\mathcal{F}$ (2-1) ↓ 2
    2=0 ↓ False
    2 ↓ 2
    0 ↓ 0
    2 *  $\mathcal{F}$ (2-1) ↓ 2
    2 ↓ 2
     $\mathcal{F}$ (2-1) ↓ 1
     $\mathcal{F}$  ↓ fun x -> if x=0 then 1 else x *  $\mathcal{F}$ (x-1)
    2-1 ↓ 1
    2 ↓ 2
    if 1=0 then 1 else 1 *  $\mathcal{F}$ (1-1) ↓ 1
    1=0 ↓ False
    1 ↓ 1
    1 *  $\mathcal{F}$ (1-1) ↓ 1
    :
```

# Environment model evaluation rules

(Const)  $\text{Const } c, \rho \Downarrow \text{Const } c$

(Var)  $a, \rho \Downarrow \rho(a)$

(Fun)  $\text{Fun}(a, e), \rho \Downarrow \langle \text{Fun}(a, e), \rho \rangle$

(Rec)  $\text{Rec}(f, e), \rho \Downarrow \langle \text{Rec}(f, e), \rho \rangle$

$(\delta) e \ op \ e', \rho \Downarrow v \ OP \ v'$   
 $e, \rho \Downarrow v$   
 $e', \rho \Downarrow v'$

$(\delta) op \ e, \rho \Downarrow OP \ v$   
 $e, \rho \Downarrow v$

(If)  $\text{If}(e_1, e_2, e_3), \rho \Downarrow v$   
 $e_1, \rho \Downarrow \text{True}$   
 $e_2, \rho \Downarrow v$

(If)  $\text{If}(e_1, e_2, e_3), \rho \Downarrow v$   
 $e_1, \rho \Downarrow \text{False}$   
 $e_3, \rho \Downarrow v$

(List)  $[e_1, \dots, e_n], \rho \Downarrow [v_1, \dots, v_n]$   
 $e_1, \rho \Downarrow v_1$   
 $\vdots$   
 $e_n, \rho \Downarrow v_n$

(Let)  $\text{Let}(a, e, e'), \rho \Downarrow v'$   
 $e, \rho \Downarrow v$   
 $e', \rho[a \mapsto v] \Downarrow v'$

(App)  $e \ e', \rho \Downarrow v$   
 $e, \rho \Downarrow \langle \text{Fun}(a, e''), \rho' \rangle$   
 $e', \rho \Downarrow v'$   
 $e'', \rho'[a \mapsto v'] \Downarrow v$

(App)  $e \ e', \rho \Downarrow v''$   
 $e, \rho \Downarrow v,$   
 $\text{where } v = \langle \text{Rec}(f, \text{Fun}(a, e'')), \rho' \rangle$   
 $e', \rho \Downarrow v'$   
 $e'', \rho'[a \mapsto v', f \mapsto v] \Downarrow v''$

# Evaluation in environment model

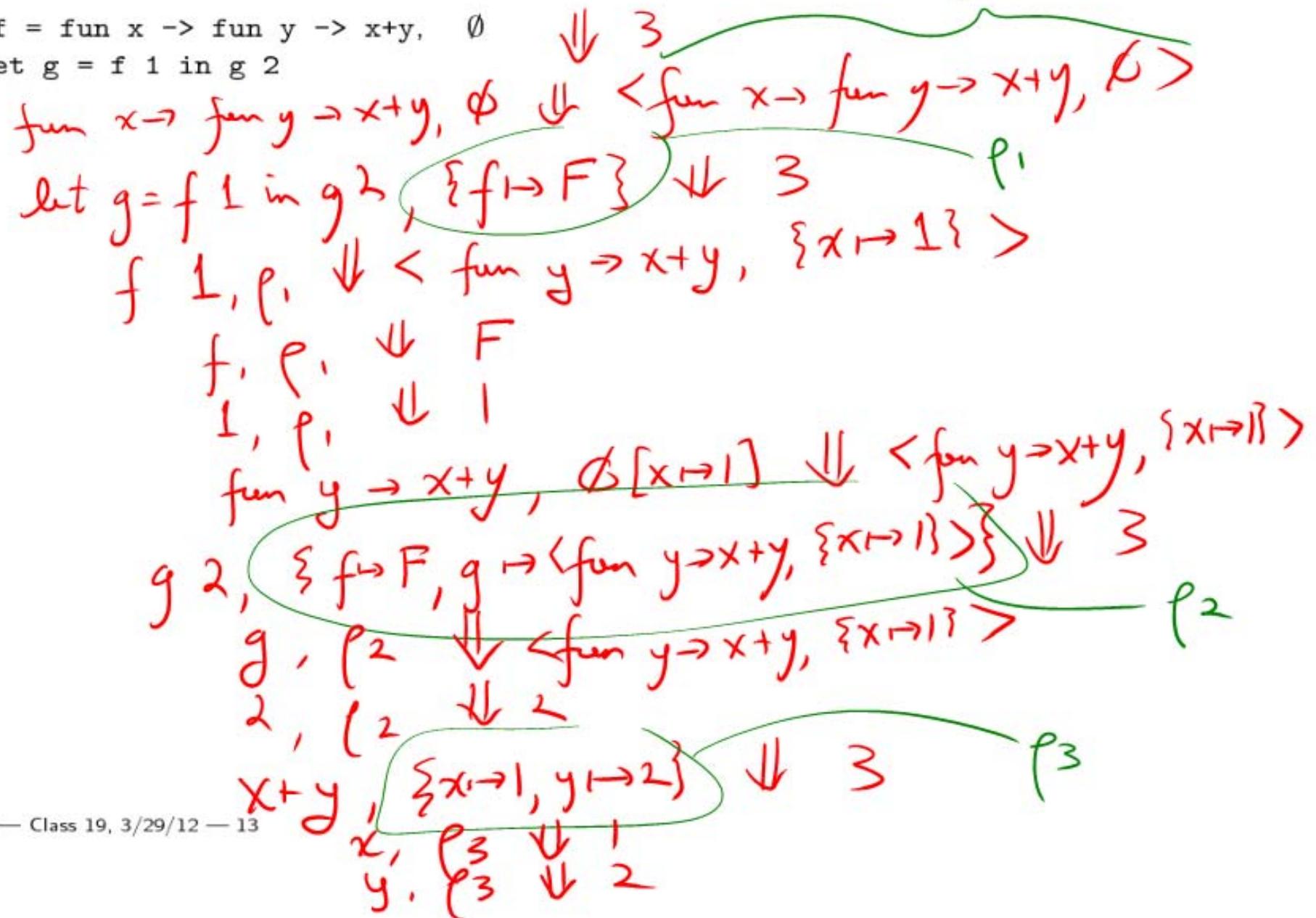
- $\emptyset$  denotes the empty environment. We may write  $\emptyset[x \mapsto v]$  as  $\{x \mapsto v\}$ .

let  $x = 3$  in  $x+1, \emptyset \Downarrow 4$   
 $3, \emptyset \Downarrow 3$   
 $x+1, \{x \mapsto 3\} \Downarrow 4$   
 $x, \{x \mapsto 3\} \Downarrow 3$   
 $1, \{x \mapsto 3\} \Downarrow 1$

$(\text{fun } x \rightarrow x+1) 3, \emptyset \Downarrow 4$   
 $\text{fun } x \rightarrow x+1, \emptyset \Downarrow \langle \text{fun } x \rightarrow x+1, \emptyset \rangle$   
 $3, \emptyset \Downarrow 3$   
 $x+1, \{x \mapsto 3\} \Downarrow 4$   
 $x, \{x \mapsto 3\} \Downarrow 3$   
 $1, \{x \mapsto 3\} \Downarrow 1$

# Evaluation in environment model

let f = fun x -> fun y -> x+y,  $\emptyset$   
in let g = f 1 in g 2



# Evaluation in environment model

Let  $\mathcal{F}$  denote “`rec fac (fun x -> if x=0 then 1 else x * fac(x-1))`”.

```
let fac =  $\mathcal{F}$  in fac 2,  $\emptyset \Downarrow 2$ 
 $\mathcal{F}, \emptyset \Downarrow <\mathcal{F}, \emptyset>$ 
fac 2,  $\rho_1 \Downarrow 2$  ( $\rho_1 = \{fac \mapsto <\mathcal{F}, \emptyset>\}$ )
  fac,  $\rho_1 \Downarrow <\mathcal{F}, \emptyset>$ 
  2,  $\rho_1 \Downarrow 2$ 
  if x=0 then 1 else x *  $\mathcal{F}(x-1)$ ,  $\rho_1[x \mapsto 2] \Downarrow 2$ 
    x=0,  $\rho_1[x \mapsto 2] \Downarrow \text{False}$ 
      x,  $\rho_1[x \mapsto 2] \Downarrow 2$ 
      0,  $\rho_1[x \mapsto 2] \Downarrow 0$ 
    x *  $\mathcal{F}(x-1)$ ,  $\rho_1[x \mapsto 2] \Downarrow 2$ 
```

# map

- The most famous of all higher-order functions:

```
let rec map f lis = if lis=[] then []
                     else (f (hd lis)) :: map f (tl lis);;
```

- map (fun x->x+1) [1;2;3] [2;3;4]
- let incrBy n lis = map (fun x -> x+n) lis  
*Increment every element of lis by 1*
- let incrBy n = map (fun x -> x+n)  
*Same*

- Type of map?

$(\alpha \rightarrow \beta) \rightarrow \alpha \text{ list} \rightarrow \beta \text{ list}$

# map exercises

- addpairs:  $(\text{int} * \text{int}) \text{ list} \rightarrow \text{int list}$

let addpairs lis  
= map (fun (x,y) → x+y) lis

- appendString:  $\text{string} \rightarrow \text{string list} \rightarrow \text{string list}$  concatenates the first argument to the end of every string in the second argument

let appendString s sls  
= map (fun s' → s'^s) sls

- incrall:  $\text{int list list} \rightarrow \text{int list list}$  increments every element of every list in its argument

incrall lis = map (map (fun x → x+1)) lis

# fold\_right

- Usually called reduce, but called fold\_right in OCaml:

```
let rec fold_right (f:'a->'b->'b) (lis:'a list) (z:'b) : 'b  
  = if lis=[] then z else f (hd lis) (fold_right f (tl lis) z)
```

- `fold_right (fun s s' -> s @ s') ["a"; "b"; "c"] ""`

*"abc"*

- `fold_right (fun x y -> x+y) [3;4;5] 0`

*12*

- `fold_right (fun x y -> x::y) [3;4;5] []`

*[3; 4; 5]*

- `let h f lis = fold_right (fun x y -> (f x)::y) lis []`

*h = map*

# Currying (cont.)

- Can define functions curry and uncurry:

```
let curry (f:'a * 'b -> 'c) : ('a -> 'b -> 'c) =
```

fun x → fun y → f(x,y)

```
let uncurry (f:'a -> 'b -> 'c) : ('a * 'b -> 'c) =
```

fun (x,y) → f x y

```
usecurried (curry f_uncurred);;
useuncurred (uncurry f_curried);;
```

# More h-o function examples

- reverse ( $f: 'a \rightarrow 'b \rightarrow 'c$ ) : ( $'b \rightarrow 'a \rightarrow 'c$ )

reverse (fun x y -> x-y) 3 5 = 2

let reverse f = fun x y -> f y x

- twice ( $f: 'a \rightarrow 'a$ ) : ( $'a \rightarrow 'a$ )

twice (fun x -> x+1) 3 = 5

let twice f = fun x -> f (f x)

# Representing sets as functions

```
type intset = int -> bool
```

```
let emptyset : intset = fun n → false
```

```
let member (n:int) (s:intset) : bool = s n
```

```
let add (n:int) (s:intset) : intset =
```

```
fun n' → n' = n ∨ s n'
```

# Representing sets as functions (cont.)

```
let union (s1:intset) (s2:intset) : intset =
```

fun n → s1 n ∨ s2 n

```
let intersection (s1:intset) (s2:intset) : intset =
```

fun n → s1 n ∧ s2 n

```
let remove (n:int) (s:intset) : intset =
```

fun m → s m && m <> n

```
let complement (s:intset) : intset =
```

fun n → not (s n)

```
let intsAbove (n:int) : intset =
```

fun m → m > n

# Representing dictionaries as functions

- Similarly, implementing an environment (a.k.a. dictionary), as in our mps, means defining a representation “type environment = *something*”, and operations:

- let emptyEnv : environment
- fetch (id:id) (env:environment) : value
- extend (id:id) (v:value) (env:environment) : environment

- These operations need to act in an “environment-like” way, e.g.

- fetch "x" emptyEnv **throws an exception**
- fetch "x" (extend "x" (IntConst 3) emptyEnv) **returns IntConst 3**

