

Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

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Sample Grammar

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle \mid \langle \text{term} \rangle + \langle \text{expr} \rangle$
 $\mid \langle \text{term} \rangle - \langle \text{expr} \rangle$

$\langle \text{term} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle * \langle \text{term} \rangle$
 $\mid \langle \text{factor} \rangle / \langle \text{term} \rangle$

$\langle \text{factor} \rangle ::= \langle \text{id} \rangle \mid (\langle \text{expr} \rangle)$

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Tokens as OCaml Types

- + - * / () $\langle \text{id} \rangle$

- Becomes an OCaml datatype

type token =

 Id_token of string

 | Left_parenthesis | Right_parenthesis

 | Times_token | Divide_token

 | Plus_token | Minus_token

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Parse Trees as Datatypes

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle \mid \langle \text{term} \rangle + \langle \text{expr} \rangle$
 $\mid \langle \text{term} \rangle - \langle \text{expr} \rangle$

type expr =

 Term_as_Expr of term

 | Plus_Expr of (term * expr)

 | Minus_Expr of (term * expr)

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Parse Trees as Datatypes

$\langle \text{term} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle * \langle \text{term} \rangle$
 $\mid \langle \text{factor} \rangle / \langle \text{term} \rangle$

and term =

 Factor_as_Term of factor

 | Mult_Term of (factor * term)

 | Div_Term of (factor * term)

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Parse Trees as Datatypes

$\langle \text{factor} \rangle ::= \langle \text{id} \rangle \mid (\langle \text{expr} \rangle)$

and factor =

 Id_as_Factor of string

 | Parenthesized_Expr_as_Factor of expr

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Parsing Lists of Tokens

- Will create three mutually recursive functions:
 - `expr : token list -> (expr * token list)`
 - `term : token list -> (term * token list)`
 - `factor : token list -> (factor * token list)`
- Each parses what it can and gives back parse and remaining tokens

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Parsing an Expression

```
<expr> ::= <term> [( + | - ) <expr> ]  
let rec expr tokens =  
  (match term tokens  
   with ( term_parse , tokens_after_term) ->  
        (match tokens_after_term  
         with( Plus_token :: tokens_after_plus) ->
```

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Parsing an Expression

```
<expr> ::= <term> [( + | - ) <expr> ]  
let rec expr tokens =  
  (match term tokens  
   with ( term_parse , tokens_after_term) ->  
        (match tokens_after_term  
         with ( Plus_token :: tokens_after_plus) ->
```

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Parsing a Plus Expression

```
<expr> ::= <term> [( + | - ) <expr> ]  
let rec expr tokens =  
  (match term tokens  
   with ( term_parse , tokens_after_term) ->  
        (match tokens_after_term  
         with ( Plus_token :: tokens_after_plus) ->
```

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Parsing a Plus Expression

```
<expr> ::= <term> [( + | - ) <expr> ]  
let rec expr tokens =  
  (match term tokens  
   with ( term_parse , tokens_after_term) ->  
        (match tokens_after_term  
         with ( Plus_token :: tokens_after_plus) ->
```

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Parsing a Plus Expression

```
<expr> ::= <term> [( + | - ) <expr> ]  
let rec expr tokens =  
  (match term tokens  
   with ( term_parse , tokens_after_term) ->  
        (match tokens_after_term  
         with ( Plus_token :: tokens_after_plus) ->
```

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Parsing a Plus Expression

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle + \langle \text{expr} \rangle$

(match **expr** tokens_after_plus
with (expr_parse , tokens_after_expr) ->
(Plus_Expr (term_parse , expr_parse),
tokens_after_expr))

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Parsing a Plus Expression

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle + \langle \text{expr} \rangle$

(match expr tokens_after_plus
with (**expr_parse** , tokens_after_expr) ->
(Plus_Expr (term_parse , expr_parse),
tokens_after_expr))

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Building Plus Expression Parse Tree

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle + \langle \text{expr} \rangle$

(match expr tokens_after_plus
with (expr_parse , tokens_after_expr) ->
(**Plus_Expr** (**term_parse** , **expr_parse**),
tokens_after_expr))

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Parsing a Minus Expression

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle - \langle \text{expr} \rangle$

| (Minus_token :: tokens_after_minus) ->
(match expr tokens_after_minus
with (expr_parse , tokens_after_expr) ->
(Minus_Expr (term_parse , expr_parse),
tokens_after_expr))

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Parsing a Minus Expression

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle - \langle \text{expr} \rangle$

| (**Minus_token** :: tokens_after_minus) ->
(match expr tokens_after_minus
with (expr_parse , tokens_after_expr) ->
(**Minus_Expr** (**term_parse** , **expr_parse**),
tokens_after_expr))

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Parsing an Expression as a Term

$\langle \text{expr} \rangle ::= \langle \text{term} \rangle$

| _ -> (Term_as_Expr **term_parse** ,
tokens_after_term))

- Code for **term** is same except for replacing addition with multiplication and subtraction with division

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Parsing Factor as Id

$\langle \text{factor} \rangle ::= \langle \text{id} \rangle$

and factor tokens =
(match tokens
with (Id_token id_name :: tokens_after_id) =
(Id_as_Factor id_name, tokens_after_id)

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Parsing Factor as Parenthesized Expression

$\langle \text{factor} \rangle ::= (\langle \text{expr} \rangle)$

| factor (Left_parenthesis :: tokens) =
(match expr tokens
with (expr_parse , tokens_after_expr) ->

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Parsing Factor as Parenthesized Expression

$\langle \text{factor} \rangle ::= (\langle \text{expr} \rangle)$

(match tokens_after_expr
with Right_parenthesis :: tokens_after_rparen ->
(Parenthesized_Expr_as_Factor expr_parse ,
tokens_after_rparen)

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Error Cases

- What if no matching right parenthesis?
| _ -> raise (Failure "No matching rparen"))
- What if no leading id or left parenthesis?
| _ -> raise (Failure "No id or lparen"));;

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$(a + b) * c - d$

expr [Left_parenthesis; Id_token "a";
Plus_token; Id_token "b";
Right_parenthesis; Times_token;
Id_token "c"; Minus_token;
Id_token "d"];;

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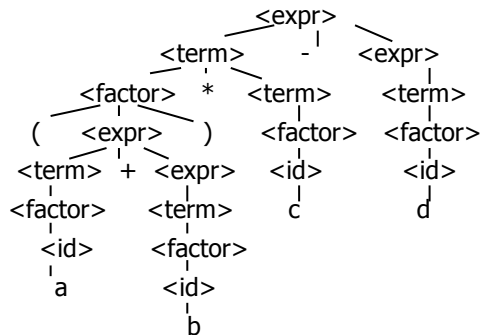
$(a + b) * c - d$

- : expr * token list =
(Minus_Expr
(Mult_Term
(Parenthesized_Expr_as_Factor
(Plus_Expr
(Factor_as_Term (Id_as_Factor "a"),
Term_as_Expr (Factor_as_Term
(Id_as_Factor "b")))),
Factor_as_Term (Id_as_Factor "c")),
Term_as_Expr (Factor_as_Term (Id_as_Factor
"d"))),
[])

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(a + b) * c - d



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a + b * c - d

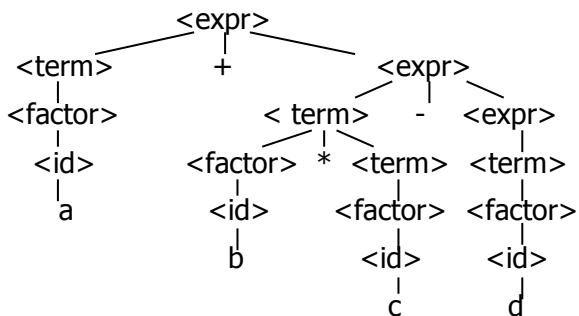
```

# expr [Id_token "a"; Plus_token; Id_token "b";
Times_token; Id_token "c"; Minus_token;
Id_token "d"];
- : expr * token list =
(Plus_Expr
 (Factor_as_Term (Id_as_Factor "a"),
  Minus_Expr
   (Mult_Term (Id_as_Factor "b", Factor_as_Term
    (Id_as_Factor "c")),
    Term_as_Expr (Factor_as_Term (Id_as_Factor
    "d")))),
 [])
  
```

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a + b * c - d



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(a + b * c - d

```

# expr [Left_parenthesis; Id_token "a";
Plus_token; Id_token "b"; Times_token;
Id_token "c"; Minus_token; Id_token "d"];
  
```

Exception: Failure "No matching rparen".

Can't parse because it was expecting a right parenthesis but it got to the end without finding one

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a + b) * c - d *

```

expr [Id_token "a"; Plus_token; Id_token "b";
Right_parenthesis; Times_token; Id_token "c";
Minus_token; Id_token "d"];
- : expr * token list =
(Plus_Expr
 (Factor_as_Term (Id_as_Factor "a"),
  Term_as_Expr (Factor_as_Term (Id_as_Factor
  "b"))),
 [Right_parenthesis; Times_token; Id_token "c";
  Minus_token; Id_token "d"])
  
```

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Parsing Whole String

- Q: How to guarantee whole string parses?
- A: Check returned tokens empty

let parse tokens =

match **expr** tokens

with (expr_parse, []) -> expr_parse
 | _ -> raise (Failure "No parse");;

- Fixes <expr> as start symbol

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Streams in Place of Lists

- More realistically, we don't want to create the entire list of tokens before we can start parsing
- We want to generate one token at a time and use it to make one step in parsing
- Will use (token * (unit -> token)) or (token * (unit -> token option)) in place of token list

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Problems for Recursive-Descent Parsing

- Left Recursion:
 $A ::= Aw$
translates to a subroutine that loops forever
- Indirect Left Recursion:
 $A ::= Bw$
 $B ::= Av$
causes the same problem

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Problems for Recursive-Descent Parsing

- Parser must always be able to choose the next action based only on the very next token
- Pairwise Disjointedness Test: Can we always determine which rule (in the non-extended BNF) to choose based on just the first token

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Pairwise Disjointedness Test

- For each rule
 $A ::= y$
Calculate
 $FIRST(y) = \{a \mid y \Rightarrow^* aw\} \cup \{\epsilon \mid y \Rightarrow^* \epsilon\}$
- For each pair of rules $A ::= y$ and $A ::= z$, require $FIRST(y) \cap FIRST(z) = \{\}$

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Example

Grammar:

$\langle S \rangle ::= \langle A \rangle a \langle B \rangle b$

$\langle A \rangle ::= \langle A \rangle b \mid b$

$\langle B \rangle ::= a \langle B \rangle \mid a$

$FIRST(\langle A \rangle b) = \{b\}$

$FIRST(b) = \{b\}$

Rules for $\langle A \rangle$ not pairwise disjoint

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Eliminating Left Recursion

- Rewrite grammar to shift left recursion to right recursion
 - Changes associativity
- Given
 $\langle \text{expr} \rangle ::= \langle \text{expr} \rangle + \langle \text{term} \rangle$ and
 $\langle \text{expr} \rangle ::= \langle \text{term} \rangle$
- Add new non-terminal $\langle e \rangle$ and replace above rules with
 $\langle \text{expr} \rangle ::= \langle \text{term} \rangle \langle e \rangle$
 $\langle e \rangle ::= + \langle \text{term} \rangle \langle e \rangle \mid \epsilon$

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Factoring Grammar

- Test too strong: Can't handle
 $\langle \text{expr} \rangle ::= \langle \text{term} \rangle [(+ | -) \langle \text{expr} \rangle]$
- Answer: Add new non-terminal and replace above rules by
 $\langle \text{expr} \rangle ::= \langle \text{term} \rangle \langle e \rangle$
 $\langle e \rangle ::= + \langle \text{term} \rangle \langle e \rangle$
 $\langle e \rangle ::= - \langle \text{term} \rangle \langle e \rangle$
 $\langle e \rangle ::= \epsilon$
- You are delaying the decision point

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Example

Both $\langle A \rangle$ and $\langle B \rangle$ have problems: Transform grammar to:

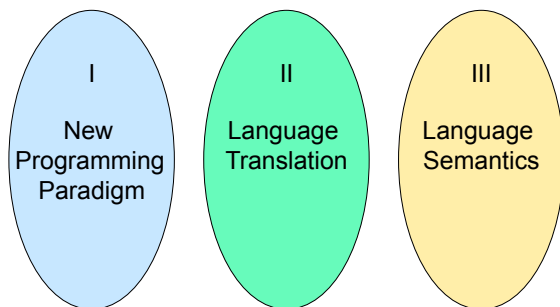
$$\begin{aligned} \langle S \rangle &::= \langle A \rangle a \langle B \rangle b & \langle S \rangle &::= \langle A \rangle a \langle B \rangle b \\ \langle A \rangle &::= \langle A \rangle b \mid b & \langle A \rangle &::= b \langle A1 \rangle \\ \langle B \rangle &::= a \langle B \rangle \mid a & \langle A1 \rangle &::= b \langle A1 \rangle \mid \epsilon \\ & & \langle B \rangle &::= a \langle B1 \rangle \\ & & \langle B1 \rangle &::= a \langle B1 \rangle \mid \epsilon \end{aligned}$$

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Programming Languages & Compilers

Three Main Topics of the Course

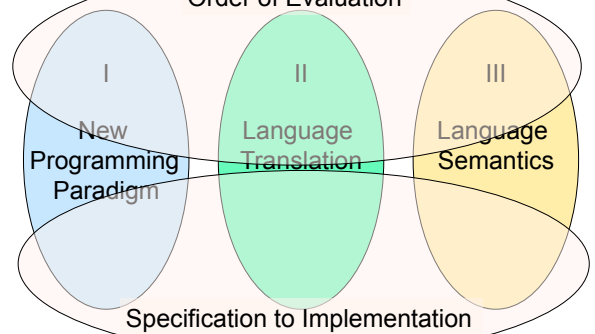


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Programming Languages & Compilers

Order of Evaluation

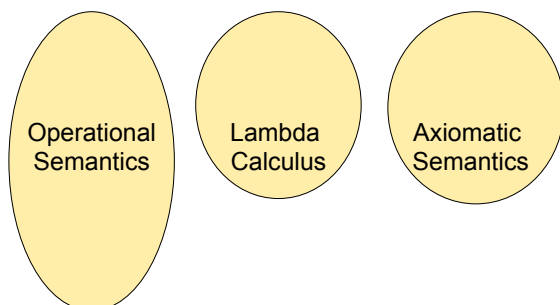


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Programming Languages & Compilers

III : Language Semantics

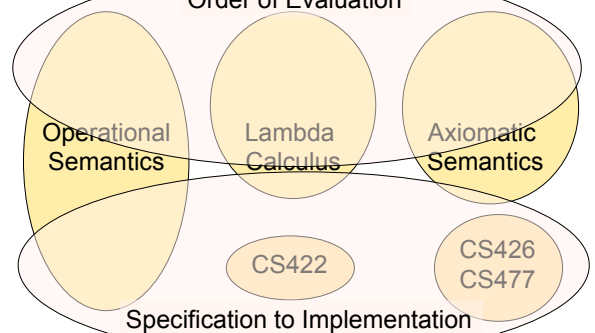


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Programming Languages & Compilers

Order of Evaluation



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Semantics

- Expresses the meaning of syntax
- Static semantics
 - Meaning based only on the form of the expression without executing it
 - Usually restricted to type checking / type inference

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Dynamic semantics

- Method of describing meaning of executing a program
- Several different types:
 - Operational Semantics
 - Axiomatic Semantics
 - Denotational Semantics

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Dynamic Semantics

- Different languages better suited to different types of semantics
- Different types of semantics serve different purposes

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Operational Semantics

- Start with a simple notion of machine
- Describe how to execute (implement) programs of language on virtual machine, by describing how to execute each program statement (ie, following the *structure* of the program)
- Meaning of program is how its execution changes the state of the machine
- Useful as basis for implementations

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Axiomatic Semantics

- Also called Floyd-Hoare Logic
- Based on formal logic (first order predicate calculus)
- Axiomatic Semantics is a logical system built from *axioms* and *inference rules*
- Mainly suited to simple imperative programming languages

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Axiomatic Semantics

- Used to formally prove a property (*post-condition*) of the *state* (the values of the program variables) after the execution of program, assuming another property (*pre-condition*) of the state before execution
- Written :
{Precondition} Program {Postcondition}
- Source of idea of *loop invariant*

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Denotational Semantics

- Construct a function \mathcal{M} assigning a mathematical meaning to each program construct
- Lambda calculus often used as the range of the meaning function
- Meaning function is compositional: meaning of construct built from meaning of parts
- Useful for proving properties of programs

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Natural Semantics

- Aka “Big Step Semantics”
- Provide value for a program by rules and derivations, similar to type derivations
- Rule conclusions look like

$$(C, m) \Downarrow m'$$

or

$$(E, m) \Downarrow v$$

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Simple Imperative Programming Language

- $I \in \text{Identifiers}$
- $N \in \text{Numerals}$
- $B ::= \text{true} \mid \text{false} \mid B \ \& \ B \mid B \ \text{or} \ B \mid \text{not} \ B \mid E < E \mid E = E$
- $E ::= N \mid I \mid E + E \mid E * E \mid E - E \mid - E$
- $C ::= \text{skip} \mid C; C \mid I ::= E \mid \text{if } B \text{ then } C \text{ else } C \text{ fi} \mid \text{while } B \text{ do } C \text{ od}$

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Natural Semantics of Atomic Expressions

- Identifiers: $(I, m) \Downarrow m(I)$
- Numerals are values: $(N, m) \Downarrow N$
- Booleans: $(\text{true}, m) \Downarrow \text{true}$
 $(\text{false}, m) \Downarrow \text{false}$

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Booleans:

$$\frac{(B, m) \Downarrow \text{false}}{(B \ \& \ B', m) \Downarrow \text{false}} \quad \frac{(B, m) \Downarrow \text{true} \quad (B', m) \Downarrow b}{(B \ \& \ B', m) \Downarrow b}$$

$$\frac{(B, m) \Downarrow \text{true}}{(B \ \text{or} \ B', m) \Downarrow \text{true}} \quad \frac{(B, m) \Downarrow \text{false} \quad (B', m) \Downarrow b}{(B \ \text{or} \ B', m) \Downarrow b}$$

$$\frac{(B, m) \Downarrow \text{true}}{(\text{not } B, m) \Downarrow \text{false}} \quad \frac{(B, m) \Downarrow \text{false}}{(\text{not } B, m) \Downarrow \text{true}}$$

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Relations

$$\frac{(E, m) \Downarrow U \quad (E', m) \Downarrow V \quad U \sim V = b}{(E \sim E', m) \Downarrow b}$$

- By $U \sim V = b$, we mean does (the meaning of) the relation \sim hold on the meaning of U and V
- May be specified by a mathematical expression/equation or rules matching U and V

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Arithmetic Expressions

$$\frac{(E, m) \Downarrow U \quad (E', m) \Downarrow V \quad U \text{ op } V = N}{(E \text{ op } E', m) \Downarrow N}$$

where N is the specified value for $U \text{ op } V$

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Commands

Skip: $(\text{skip}, m) \Downarrow m$

Assignment: $\frac{(E, m) \Downarrow V}{(I ::= E, m) \Downarrow m[I \leftarrow V]}$

Sequencing: $\frac{(C, m) \Downarrow m' \quad (C', m') \Downarrow m''}{(C; C', m) \Downarrow m''}$

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If Then Else Command

$$\frac{(B, m) \Downarrow \text{true} \quad (C, m) \Downarrow m'}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m'}$$

$$\frac{(B, m) \Downarrow \text{false} \quad (C', m) \Downarrow m'}{(\text{if } B \text{ then } C \text{ else } C' \text{ fi}, m) \Downarrow m'}$$

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While Command

$$\frac{(B, m) \Downarrow \text{false}}{(\text{while } B \text{ do } C \text{ od}, m) \Downarrow m}$$

$$\frac{(B, m) \Downarrow \text{true} \quad (C, m) \Downarrow m' \quad (\text{while } B \text{ do } C \text{ od}, m') \Downarrow m''}{(\text{while } B \text{ do } C \text{ od}, m) \Downarrow m''}$$

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Example: If Then Else Rule

$$\frac{}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi}, \{x \rightarrow 7\}) \Downarrow ?}$$

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Example: If Then Else Rule

$$\frac{(\{x > 5, \{x \rightarrow 7\}\}) \Downarrow ?}{(\text{if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi}, \{x \rightarrow 7\}) \Downarrow ?}$$

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Example: Arith Relation

$$\begin{array}{c}
 ? > ? = ? \\
 \frac{(x, \{x > 7\}) \Downarrow ? \quad (5, \{x > 7\}) \Downarrow ?}{(x > 5, \{x \rightarrow 7\}) \Downarrow ?} \\
 \hline
 \text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

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Example: Identifier(s)

$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \frac{(x, \{x > 7\}) \Downarrow 7 \quad (5, \{x > 7\}) \Downarrow 5}{(x > 5, \{x \rightarrow 7\}) \Downarrow ?} \\
 \hline
 \text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

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Example: Arith Relation

$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \frac{(x, \{x > 7\}) \Downarrow 7 \quad (5, \{x > 7\}) \Downarrow 5}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \hline
 \text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

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Example: If Then Else Rule

$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \frac{(x, \{x > 7\}) \Downarrow 7 \quad (5, \{x > 7\}) \Downarrow 5 \quad \frac{(y := 2 + 3, \{x > 7\})}{\Downarrow ?}}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \hline
 \text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

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Example: Assignment

$$\begin{array}{c}
 7 > 5 = \text{true} \\
 \frac{(x, \{x > 7\}) \Downarrow 7 \quad (5, \{x > 7\}) \Downarrow 5 \quad \frac{(2 + 3, \{x > 7\}) \Downarrow ?}{(y := 2 + 3, \{x > 7\}) \Downarrow ?}}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \hline
 \text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

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Example: Arith Op

$$\begin{array}{c}
 ? + ? = ? \\
 \frac{(2, \{x > 7\}) \Downarrow ? \quad (3, \{x > 7\}) \Downarrow ?}{(2 + 3, \{x > 7\}) \Downarrow ?} \\
 \frac{(x, \{x > 7\}) \Downarrow 7 \quad (5, \{x > 7\}) \Downarrow 5 \quad \frac{(y := 2 + 3, \{x > 7\})}{\Downarrow ?}}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true}} \\
 \hline
 \text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi,} \\
 \{x \rightarrow 7\}) \Downarrow ?
 \end{array}$$

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Example: Numerals

$$\begin{array}{c}
 2 + 3 = 5 \\
 \frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{(2+3, \{x \rightarrow 7\}) \Downarrow ?} \\
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad (y := 2 + 3, \{x \rightarrow 7\})}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \quad \Downarrow ?} \\
 \frac{\text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}{}
 \end{array}$$

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Example: Arith Op

$$\begin{array}{c}
 2 + 3 = 5 \\
 \frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{(2+3, \{x \rightarrow 7\}) \Downarrow 5} \\
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad (y := 2 + 3, \{x \rightarrow 7\})}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \quad \Downarrow ?} \\
 \frac{\text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}{}
 \end{array}$$

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Example: Assignment

$$\begin{array}{c}
 2 + 3 = 5 \\
 \frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{(2+3, \{x \rightarrow 7\}) \Downarrow 5} \\
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad (y := 2 + 3, \{x \rightarrow 7\})}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \quad \Downarrow \{x \rightarrow 7, y \rightarrow 5\}} \\
 \frac{\text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow ?}{}
 \end{array}$$

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Example: If Then Else Rule

$$\begin{array}{c}
 2 + 3 = 5 \\
 \frac{(2, \{x \rightarrow 7\}) \Downarrow 2 \quad (3, \{x \rightarrow 7\}) \Downarrow 3}{(2+3, \{x \rightarrow 7\}) \Downarrow 5} \\
 7 > 5 = \text{true} \\
 \frac{(x, \{x \rightarrow 7\}) \Downarrow 7 \quad (5, \{x \rightarrow 7\}) \Downarrow 5 \quad (y := 2 + 3, \{x \rightarrow 7\})}{(x > 5, \{x \rightarrow 7\}) \Downarrow \text{true} \quad \Downarrow \{x \rightarrow 7, y \rightarrow 5\}} \\
 \frac{\text{(if } x > 5 \text{ then } y := 2 + 3 \text{ else } y := 3 + 4 \text{ fi, } \{x \rightarrow 7\}) \Downarrow \{x \rightarrow 7, y \rightarrow 5\}}{}
 \end{array}$$

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Let in Command

$$\frac{(E, m) \Downarrow v \quad (C, m[I \leftarrow v]) \Downarrow m'}{(\text{let } I = E \text{ in } C, m) \Downarrow m''}$$

Where $m''(y) = m'(y)$ for $y \neq I$ and $m''(I) = m(I)$ if $m(I)$ is defined, and $m''(I)$ is undefined otherwise

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Example

$$\frac{(x, \{x \rightarrow 5\}) \Downarrow 5 \quad (3, \{x \rightarrow 5\}) \Downarrow 3}{(x+3, \{x \rightarrow 5\}) \Downarrow 8} \\
 \frac{(5, \{x \rightarrow 17\}) \Downarrow 5 \quad (x := x+3, \{x \rightarrow 5\}) \Downarrow \{x \rightarrow 8\}}{(\text{let } x = 5 \text{ in } (x := x+3), \{x \rightarrow 17\}) \Downarrow ?}$$

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Example

$$\frac{\frac{(x, \{x \rightarrow 5\}) \Downarrow 5 \quad (3, \{x \rightarrow 5\}) \Downarrow 3}{(x+3, \{x \rightarrow 5\}) \Downarrow 8}}{(5, \{x \rightarrow 17\}) \Downarrow 5 \quad (x := x+3, \{x \rightarrow 5\}) \Downarrow \{x \rightarrow 8\}}}{(\text{let } x = 5 \text{ in } (x := x+3), \{x \rightarrow 17\}) \Downarrow \{x \rightarrow 17\}}$$

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Comment

- Simple Imperative Programming Language introduces variables *implicitly* through assignment
- The let-in command introduces scoped variables *explicitly*
- Clash of constructs apparent in awkward semantics

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Interpretation Versus Compilation

- A **compiler** from language L1 to language L2 is a program that takes an L1 program and for each piece of code in L1 generates a piece of code in L2 of same meaning
- An **interpreter** of L1 in L2 is an L2 program that executes the meaning of a given L1 program
- Compiler would examine the body of a loop once; an interpreter would examine it every time the loop was executed

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Interpreter

- An *Interpreter* represents the operational semantics of a language L1 (source language) in the language of implementation L2 (target language)
- Built incrementally
 - Start with literals
 - Variables
 - Primitive operations
 - Evaluation of expressions
 - Evaluation of commands/declarations

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Interpreter

- Takes abstract syntax trees as input
 - In simple cases could be just strings
- One procedure for each syntactic category (nonterminal)
 - eg one for expressions, another for commands
- If Natural semantics used, tells how to compute final value from code
- If Transition semantics used, tells how to compute next "state"
 - To get final value, put in a loop

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Natural Semantics Example

- $\text{compute_exp}(\text{Var}(v), m) = \text{look_up } v \text{ } m$
- $\text{compute_exp}(\text{Int}(n), _) = \text{Num}(n)$
- ...
- $\text{compute_com}(\text{IfExp}(b, c1, c2), m) =$
if $\text{compute_exp}(b, m) = \text{Bool}(\text{true})$
then $\text{compute_com}(c1, m)$
else $\text{compute_com}(c2, m)$

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Natural Semantics Example

- $\text{compute_com}(\text{While}(b,c), m) =$
if $\text{compute_exp}(b,m) = \text{Bool}(\text{false})$
then m
else $\text{compute_com}(\text{While}(b,c), \text{compute_com}(c,m))$
- May fail to terminate - exceed stack limits
- Returns no useful information then

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Transition Semantics

- Form of operational semantics
- Describes how each program construct transforms machine state by *transitions*
- Rules look like
 $(C, m) \rightarrow (C', m')$ or $(C, m) \rightarrow m'$
- C, C' is code remaining to be executed
- m, m' represent the state/store/memory/environment
 - Partial mapping from identifiers to values
 - Sometimes m (or C) not needed
- Indicates exactly one step of computation

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Expressions and Values

- C, C' used for commands; E, E' for expressions; U, V for values
- Special class of expressions designated as *values*
 - Eg 2, 3 are values, but $2+3$ is only an expression
- Memory only holds values
 - Other possibilities exist

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Evaluation Semantics

- Transitions successfully stops when E/C is a value/memory
- Evaluation fails if no transition possible, but not at value/memory
- Value/memory is the final *meaning* of original expression/command (in the given state)
- Coarse semantics: final value / memory
- More fine grained: whole transition sequence

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Simple Imperative Programming Language

- $I \in \text{Identifiers}$
- $N \in \text{Numerals}$
- $B ::= \text{true} \mid \text{false} \mid B \ \& \ B \mid B \ \text{or} \ B \mid \text{not} \ B \mid E < E \mid E = E$
- $E ::= N \mid I \mid E + E \mid E * E \mid E - E \mid - E$
- $C ::= \text{skip} \mid C; C \mid I ::= E \mid \text{if } B \text{ then } C \text{ else } C \text{ fi} \mid \text{while } B \text{ do } C \text{ od}$

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Transitions for Expressions

- Numerals are values
- Boolean values = {true, false}
- Identifiers: $(I, m) \rightarrow (m(I), m)$

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Boolean Operations:

- Operators: (short-circuit)

$$\frac{(B, m) \rightarrow (B'', m)}{(false \ \& \ B, m) \rightarrow (false, m) \quad (true \ \& \ B, m) \rightarrow (B, m)}$$

$$\frac{(B, m) \rightarrow (B'', m)}{(B \ \& \ B', m) \rightarrow (B'' \ \& \ B', m)}$$

$$\frac{(B, m) \rightarrow (B'', m)}{(false \ \text{or} \ B, m) \rightarrow (true, m) \quad (true \ \text{or} \ B, m) \rightarrow (B, m)}$$

$$\frac{(B, m) \rightarrow (B'', m)}{(B \ \text{or} \ B', m) \rightarrow (B'' \ \text{or} \ B', m)}$$

$$\frac{(B, m) \rightarrow (B', m)}{(not \ true, m) \rightarrow (false, m) \quad (not \ false, m) \rightarrow (true, m)}$$

$$\frac{(B, m) \rightarrow (B', m)}{(not \ B, m) \rightarrow (not \ B', m)}$$

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Relations

$$\frac{(E, m) \rightarrow (E', m)}{(E \sim E', m) \rightarrow (E' \sim E', m)}$$

$$\frac{(E, m) \rightarrow (E', m)}{(V \sim E, m) \rightarrow (V \sim E', m)}$$

$(U \sim V, m) \rightarrow (true, m)$ or $(false, m)$
depending on whether $U \sim V$ holds or not

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Arithmetic Expressions

$$\frac{(E, m) \rightarrow (E', m)}{(E \ op \ E', m) \rightarrow (E' \ op \ E', m)}$$

$$\frac{(E, m) \rightarrow (E', m)}{(V \ op \ E, m) \rightarrow (V \ op \ E', m)}$$

$(U \ op \ V, m) \rightarrow (N, m)$ where N is the specified value for $U \ op \ V$

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Commands - in English

- skip means done evaluating
- When evaluating an assignment, evaluate the expression first
- If the expression being assigned is already a value, update the memory with the new value for the identifier
- When evaluating a sequence, work on the first command in the sequence first
- If the first command evaluates to a new memory (ie completes), evaluate remainder with new memory

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Commands

$$(skip, m) \rightarrow m$$

$$\frac{(E, m) \rightarrow (E', m)}{(I ::= E, m) \rightarrow (I ::= E', m)}$$

$$(I ::= V, m) \rightarrow m[I \leftarrow V]$$

$$\frac{(C, m) \rightarrow (C'', m')}{(C; C', m) \rightarrow (C''; C', m)}$$

$$\frac{(C, m) \rightarrow m'}{(C; C', m) \rightarrow (C', m')}$$

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If Then Else Command - in English

- If the boolean guard in an if_then_else is true, then evaluate the first branch
- If it is false, evaluate the second branch
- If the boolean guard is not a value, then start by evaluating it first.

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If Then Else Command

(if true then C else C' fi, m) \rightarrow (C , m)

(if false then C else C' fi, m) \rightarrow (C' , m)

$$\frac{(B, m) \rightarrow (B', m)}{\text{(if } B \text{ then } C \text{ else } C' \text{ fi, } m) \rightarrow \text{(if } B' \text{ then } C \text{ else } C' \text{ fi, } m)}$$

While Command

(while B do C od, m) \rightarrow
(if B then C ; while B do C od else skip fi, m)

In English: Expand a While into a test of the boolean guard, with the true case being to do the body and then try the while loop again, and the false case being to stop.