Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

BNF Grammars

- Start with a set of characters, **a**,**b**,**c**,...
  - We call these *terminals*
- Add a set of different characters, **X**,**Y**,**Z**, ...
  - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

BNF Grammars

- BNF rules (aka *productions*) have form

  X ::= *y*

  where **X** is any nonterminal and *y* is a string of terminals and nonterminals
- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

Sample Grammar

- Terminals: 0 1 + ( )
- Nonterminals: <Sum>
- Start symbol = <Sum>

- <Sum> ::= 0
- <Sum >::= 1
- <Sum> ::= <Sum> + <Sum>
- <Sum> ::= (<Sum>)
- Can be abbreviated as

<Sum> ::= 0 | 1
| <Sum> + <Sum> | ( )

BNF Deriviations

- Given rules

$$\mathbf{X}::= y\mathbf{Z}w \text{ and } \mathbf{Z}::=v$$

we may replace **Z** by *v* to say

$$\mathbf{X} => y\mathbf{Z}w => yvw$$

- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

<Sum> ::= 0 | 1 | <Sum> + <Sum> | (<Sum>)

<Sum> =>

BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

Regular Grammars

- Subclass of BNF
- Only rules of form
  <nonterminal>::=<terminal><nonterminal> or
  <nonterminal>::=<terminal> or
  <nonterminal>::= ε
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)

Example

- Regular grammar:

  <Balanced> ::= ε

  <Balanced> ::= 0<OneAndMore>

  <Balanced> ::= 1<ZeroAndMore>

  <OneAndMore> ::= 1<Balanced>

  <ZeroAndMore> ::= 0<Balanced>
- Generates even length strings where every initial substring of even length has same number of 0's as 1's

Extended BNF Grammars

- Alternatives: allow rules of from $X::=y|z$
  - Abbreviates $X::= y$, $X::= z$
- Options: $X::=y[v]z$
  - Abbreviates $X::=yvz$, $X::=yz$
- Repetition: $X::=y\{v\}^*z$
  - Can be eliminated by adding new nonterminal V and rules $X::=yz$, $X::=yVz$, $V::=v$, $V::=vV$

Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

Example

- Consider grammar:

  <exp> ::= <factor>

  | <factor> + <factor>

  <factor> ::= <bin>

  | <bin> * <exp>

  <bin> ::= 0 | 1

- Problem: Build parse tree for 1 * 1 + 0 as an <exp>

Example cont.

- 1 * 1 + 0:   <exp>

<exp> is the start symbol for this parse tree

Example cont.

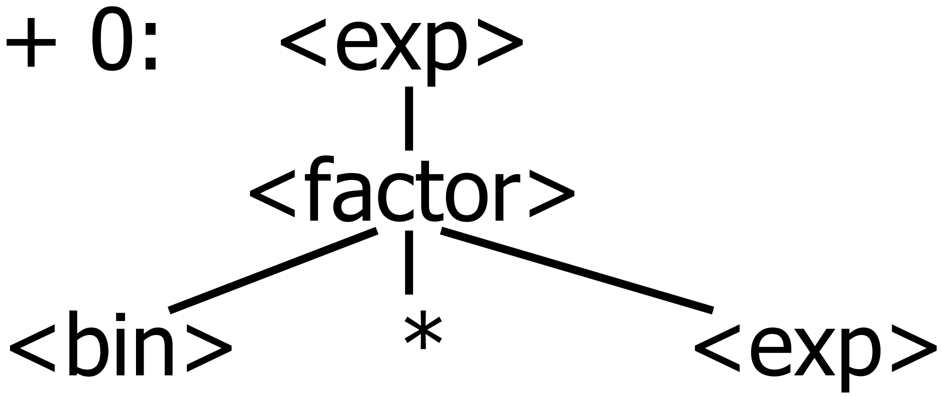
- 1 * 1 + 0: <exp>

```
<exp>
  |
<factor>
```

Use rule: <exp> ::= <factor>

Example cont.

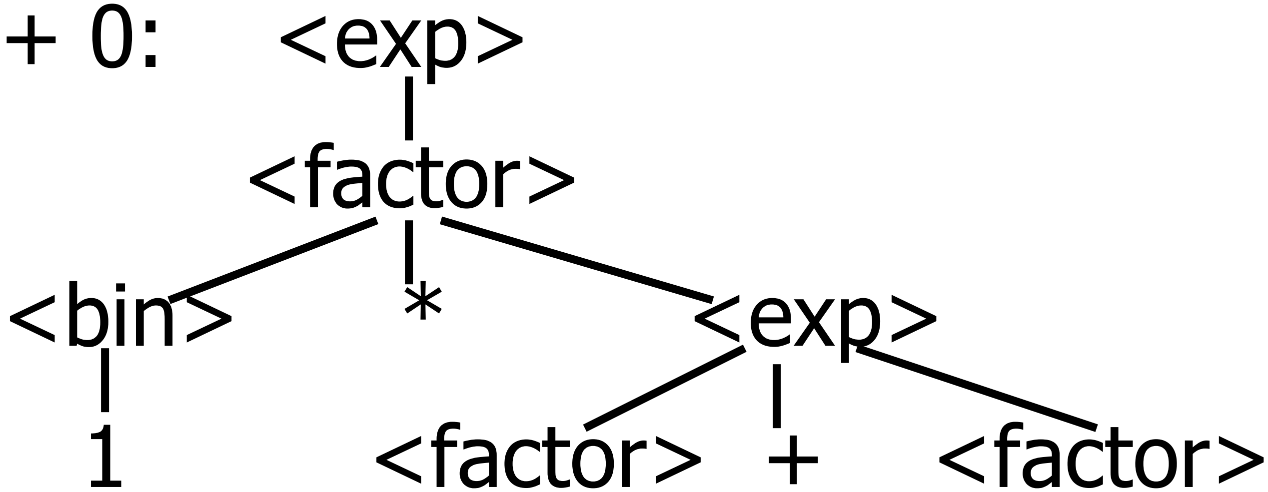
- 1 * 1 + 0:



Use rule: <factor> ::= <bin> * <exp>

Example cont.

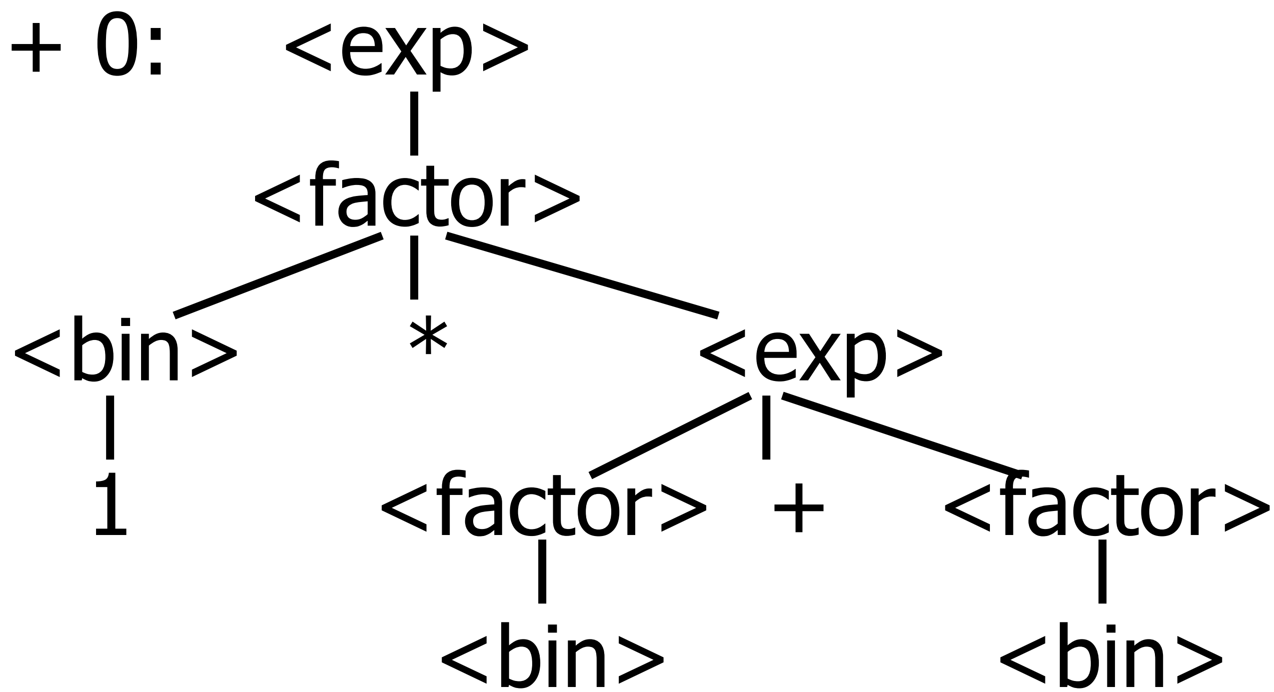
- 1 * 1 + 0:



Use rules: <bin> ::= 1 and
<exp> ::= <factor> + <factor>

Example cont.

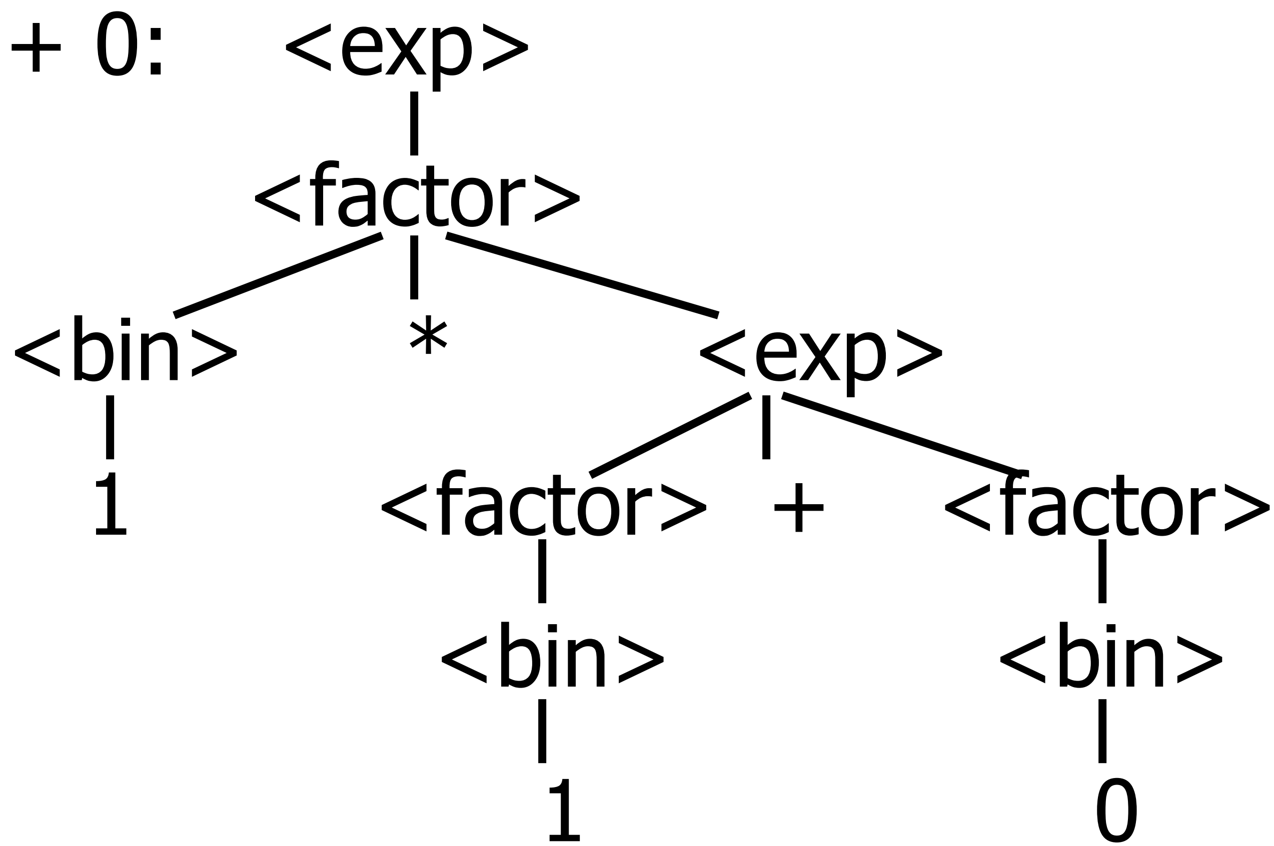
- 1 * 1 + 0:



Use rule: <factor> ::= <bin>

Example cont.

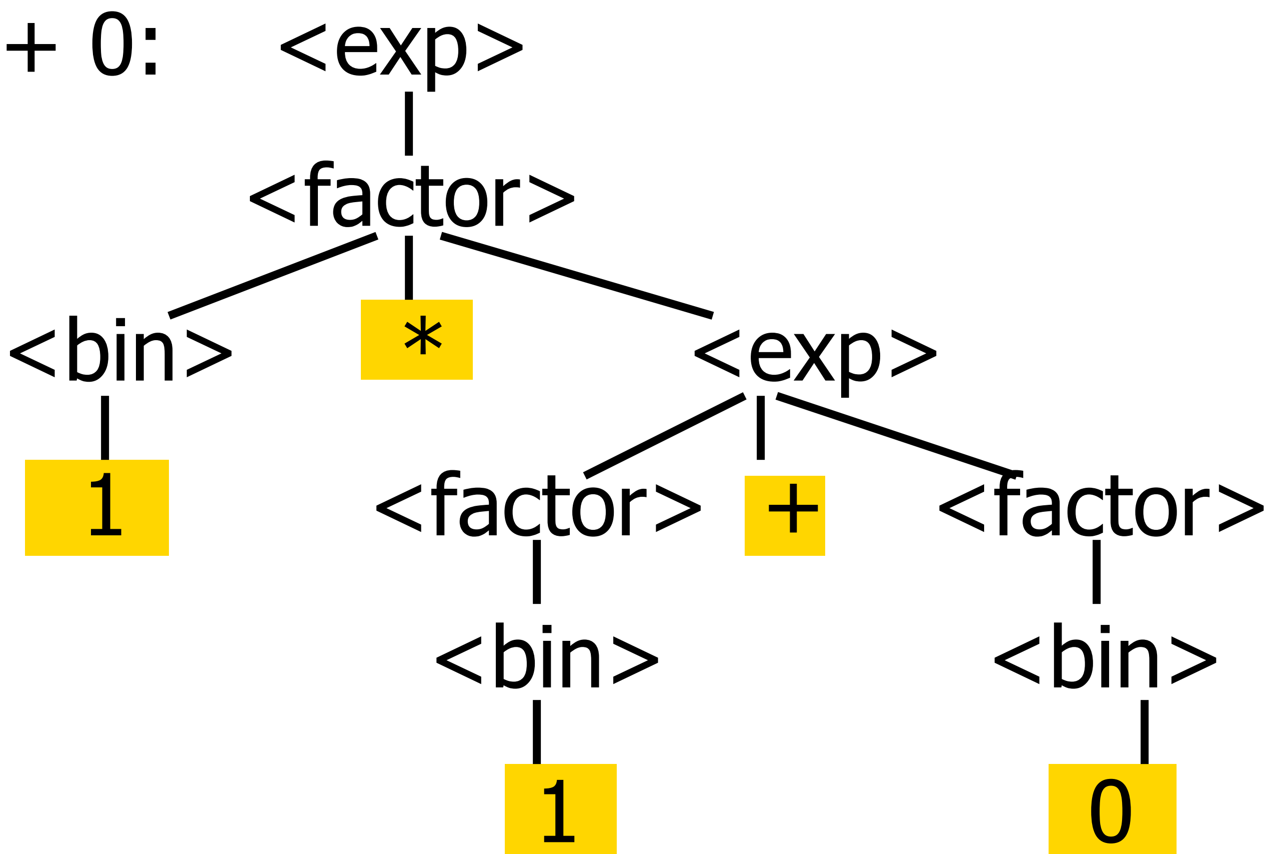
- 1 * 1 + 0:



Use rules: <bin> ::= 1 | 0

Example cont.

- 1 * 1 + 0:



Fringe of tree is string generated by grammar

Your Turn: 1 * 0 + 0 * 1

Parse Tree Data Structures

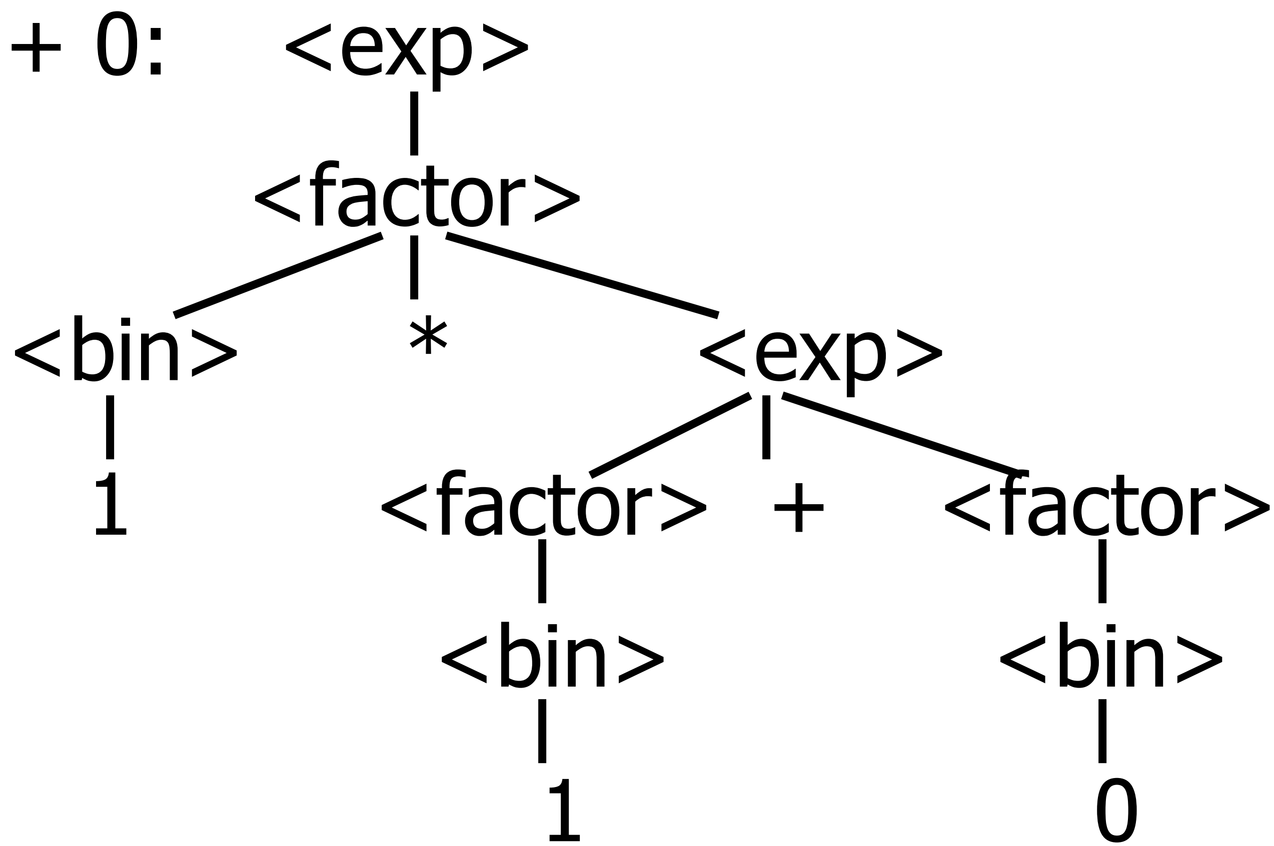
- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

Example

- Recall grammar:
  <exp> ::= <factor> | <factor> + <factor>
  <factor> ::= <bin> | <bin> * <exp>
  <bin> ::= 0 | 1
- type exp = Factor2Exp of factor
                  | Plus of factor * factor
  and factor = Bin2Factor of bin
                  | Mult of bin * exp
  and bin = Zero | One

Example cont.

- 1 * 1 + 0:



Example cont.

- Can be represented as

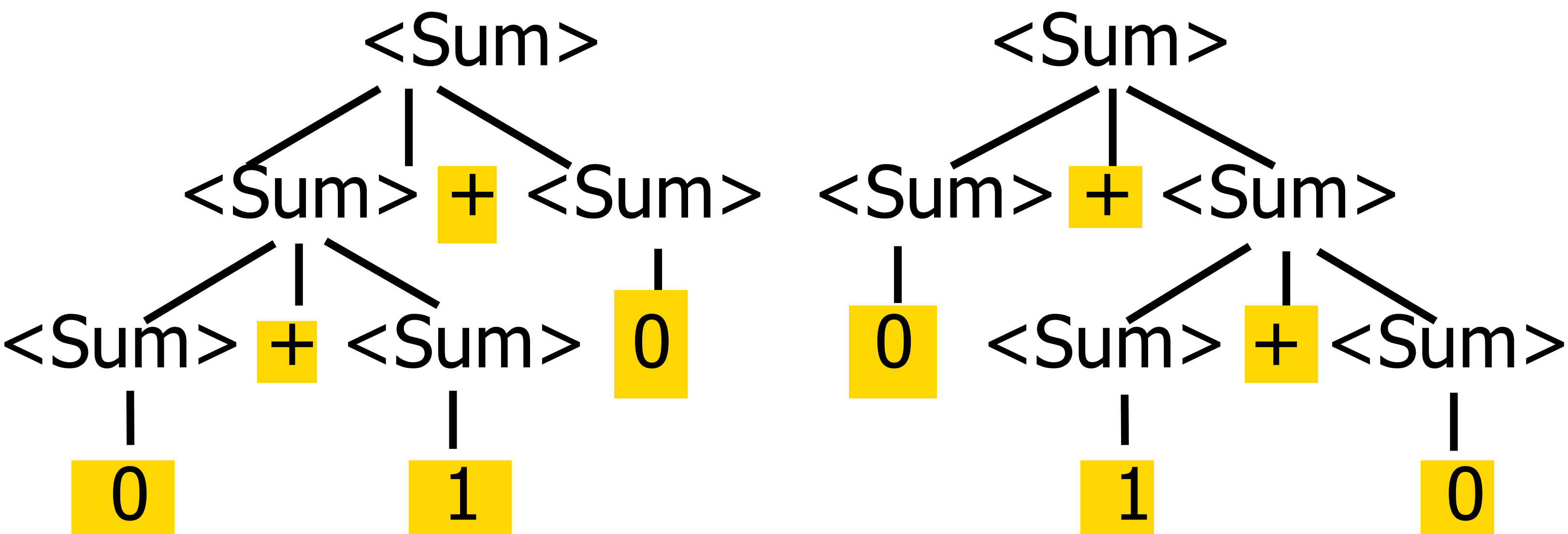
```
Factor2Exp
(Mult(One,
        Plus(Bin2Factor One,
               Bin2Factor Zero)))
```

Ambiguous Grammars and Languages

- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

Example: Ambiguous Grammar

- 0 + 1 + 0



Example

- What is the result for:

$$3 + 4 * 5 + 6$$

Example

- What is the result for:

$$3 + 4 * 5 + 6$$

- Possible answers:
  - 41 = ((3 + 4) * 5) + 6
  - 47 = 3 + (4 * (5 + 6))
  - 29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)
  - 77 = (3 + 4) * (5 + 6)

Example

- What is the value of:

$$7 - 5 - 2$$

Example

- What is the value of:

  $$7 - 5 - 2$$

- Possible answers:
  - In Pascal, C++, SML assoc. left

    $7 - 5 - 2 = (7 - 5) - 2 = 0$
  - In APL, associate to right

    $7 - 5 - 2 = 7 - (5 - 2) = 4$

Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator assoicativity

- Not the only sources of ambiguity

Disambiguating a Grammar

- Given ambiguous grammar G, with start symbol S, find a grammar G′ with same start symbol, such that

  language of G = language of G′

- Not always possible
- No algorithm in general

Disambiguating a Grammar

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse

Steps to Grammar Disambiguation

- Identify the rules and a smallest use that display ambiguity
- Decide which parse to keep; why should others be thrown out?
- What syntactic restrictions on subexpressions are needed to throw out the bad (while keeping the good)?
- Add a new non-terminal and rules to describe this set of restricted subexpressions (called stratifying, or refactoring)
- Replace old rules to use new non-terminals
- Rinse and repeat

Example

- Ambiguous grammar:

  <exp> ::= 0 | 1 | <exp> + <exp>

  | <exp> * <exp>

- String with more then one parse:

  0 + 1 + 0

  1 * 1 + 1

- Sourceof ambiuity: associativity and precedence

Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator assoicativity

- Not the only sources of ambiguity

How to Enforce Associativity

- Have at most one recursive call per production

- When two or more recursive calls would be natural leave right-most one for right assoicativity, left-most one for left assoiciativity

Example

- <Sum> ::= 0 | 1 | <Sum> + <Sum>
  | (<Sum>)
- Becomes
  - <Sum> ::= <Num> | <Num> + <Sum>
  - <Num> ::= 0 | 1 | (<Sum>)

Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

Precedence Table - Sample

	Fortan	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

First Example Again

- In any above language, 3 + 4 * 5 + 6 = 29
- In APL, all infix operators have same precedence
  - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

Predence in Grammar

- Higher precedence translates to longer derivation chain
- Example:

<exp> ::= 0 | 1 | <exp> + <exp>
| <exp> * <exp>

- Becomes

<exp> ::= <mult_exp>
| <exp> + <mult_exp>
<mult_exp> ::= <id> | <mult_exp> * <id>
<id> ::= 0 | 1

Ocamlyacc Input

- File format:

%{

<*header*>

%}

<*declarations*>

%%

<*rules*>

%%

<*trailer*>

Ocamlyacc <*header*>

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- <*footer*> similar. Possibly used to call parser

Ocamlyacc <declarations>

- %token *symbol … symbol*
- Declare given symbols as tokens
- %token <*type*> *symbol … symbol*
- Declare given symbols as token constructors, taking an argument of type <*type*>
- %start *symbol … symbol*
- Declare given symbols as entry points; functions of same names in <*grammar*>.ml

Ocamlyacc <*declarations*>

- %type <*type*> *symbol … symbol*

  Specify type of attributes for given symbols. Mandatory for start symbols
- %left *symbol … symbol*
- %right *symbol … symbol*
- %nonassoc *symbol … symbol*

  Associate precedence and associativity to given symbols. Same line,same precedence; earlier line, lower precedence (broadest scope)

Ocamlyacc <*rules*>

- *nonterminal* :

  symbol ... *symbol* { *semantic_action* }

  | ...

  | *symbol* ... *symbol* { *semantic_action* }

  ;

- Semantic actions are arbitrary Ocaml expressions
- Must be of same type as declared (or inferred) for *nonterminal*
- Access semantic attributes (values) of symbols by position: $1 for first symbol, $2 to second …

Example - Base types

```
(* File: expr.ml *)
type expr =
    Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
    Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
    Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }
let numeric = ['0' - '9']
let letter =['a' - 'z' 'A' - 'Z']
rule token = parse
  | "+" {Plus_token}
  | "-"  {Minus_token}
  | "*"  {Times_token}
  | "/"  {Divide_token}
  | "("  {Left_parenthesis}
  | ")"  {Right_parenthesis}
  | letter (letter|numeric|"_")* as id  {Id_token id}
  | [' ' '\t' '\n'] {token lexbuf}
  | eof {EOL}
```

Example - Parser (exprparse.mly)

```
%{ open Expr
%}
%token <string> Id_token
%token Left_parenthesis Right_parenthesis
%token Times_token Divide_token
%token Plus_token Minus_token
%token EOL
%start main
%type <expr> main
%%
```

Example - Parser (exprparse.mly)

```
expr:
   term
      { Term_as_Expr $1 }
 | term Plus_token expr
      { Plus_Expr ($1, $3) }
 | term Minus_token expr
      { Minus_Expr ($1, $3) }
```

Example - Parser (exprparse.mly)

```
term:
  factor
     { Factor_as_Term $1 }
 | factor Times_token term
     { Mult_Term ($1, $3) }
 | factor Divide_token term
     { Div_Term ($1, $3) }
```

Example - Parser (exprparse.mly)

```
factor:
   Id_token
      { Id_as_Factor $1 }
 | Left_parenthesis expr Right_parenthesis
      {Parenthesized_Expr_as_Factor $2 }
main:
 | expr EOL
      { $1 }
```

Example - Using Parser

```
# #use "expr.ml";;
…
# #use "exprparse.ml";;
…
# #use "exprlex.ml";;
…
# let test s =
  let lexbuf = Lexing.from_string (s^"\n") in
      main token lexbuf;;
```

Example - Using Parser

```
# test "a + b";;
- : expr =
Plus_Expr
 (Factor_as_Term (Id_as_Factor "a"),
  Term_as_Expr (Factor_as_Term
   (Id_as_Factor "b")))
```