

Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

10/4/16

1

Type Declarations

- **Type declarations:** explicit assignment of types to variables (signatures to functions) in the code of a program
 - Must be checked in a strongly typed language
 - Often not necessary for strong typing or even static typing (depends on the type system)

10/4/16

2

Type Inference

- **Type inference:** A program analysis to assign a type to an expression from the program context of the expression
 - Fully static type inference first introduced by Robin Miller in ML
 - Haskell, OCAML, SML all use type inference
 - Records are a problem for type inference

10/4/16

3

Format of Type Judgments

- A **type judgement** has the form
$$\Gamma \vdash \text{exp} : \tau$$
- Γ is a typing environment
 - Supplies the types of variables (and function names when function names are not variables)
 - Γ is a set of the form $\{ x:\sigma, \dots \}$
 - For any x at most one σ such that $(x:\sigma \in \Gamma)$
- exp is a program expression
- τ is a type to be assigned to exp
- \vdash pronounced “turnstile”, or “entails” (or “satisfies” or, informally, “shows”)

10/4/16

4

Axioms - Constants

$\Gamma \vdash n : \text{int}$ (assuming n is an integer constant)

$\Gamma \vdash \text{true} : \text{bool}$

$\Gamma \vdash \text{false} : \text{bool}$

- These rules are true with any typing environment
- Γ, n are meta-variables

10/4/16

5

Axioms – Variables (Monomorphic Rule)

Notation: Let $\Gamma(x) = \sigma$ if $x : \sigma \in \Gamma$

Note: if such σ exists, its unique

Variable axiom:

$\Gamma \vdash x : \sigma$ if $\Gamma(x) = \sigma$

10/4/16

6

Simple Rules - Arithmetic

Primitive operators ($\oplus \in \{ +, -, *, ... \}$):

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \Gamma \vdash e_2 : \tau_2 \quad (\oplus) : \tau_1 \rightarrow \tau_2 \rightarrow \tau_3}{\Gamma \vdash e_1 \oplus e_2 : \tau_3}$$

Relations ($\sim \in \{ <, >, =, \leq, \geq \}$):

$$\frac{\Gamma \vdash e_1 : \tau \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash e_1 \sim e_2 : \text{bool}}$$

For the moment, think τ is int

10/4/16

7

Example: $\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$

What do we need to show first?

$$\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$$

8

Example: $\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$

What do we need for the left side?

$$\frac{\{x : \text{int}\} \vdash x + 2 : \text{int} \quad \{x : \text{int}\} \vdash 3 : \text{int}}{\{x : \text{int}\} \vdash x + 2 = 3 : \text{bool}} \text{Rel}$$

10/4/16

9

Example: $\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$

How to finish?

$$\frac{\begin{array}{c} \{x:\text{int}\} \vdash x : \text{int} \quad \{x:\text{int}\} \vdash 2 : \text{int} \\ \{x : \text{int}\} \vdash x + 2 : \text{int} \end{array} \text{AO} \quad \{x:\text{int}\} \vdash 3 : \text{int}}{\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}} \text{Rel}$$

10/4/16

10

Example: $\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}$

Complete Proof (type derivation)

$$\frac{\begin{array}{c} \frac{\text{Var}}{\{x:\text{int}\} \vdash x : \text{int}} \quad \frac{\text{Const}}{\{x:\text{int}\} \vdash 2 : \text{int}} \\ \{x : \text{int}\} \vdash x + 2 : \text{int} \end{array} \text{AO} \quad \frac{\text{Const}}{\{x:\text{int}\} \vdash 3 : \text{int}}}{\{x:\text{int}\} \vdash x + 2 = 3 : \text{bool}} \text{Rel}$$

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11

Simple Rules - Booleans

Connectives

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \text{bool}}{\Gamma \vdash e_1 \& e_2 : \text{bool}}$$

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \text{bool}}{\Gamma \vdash e_1 || e_2 : \text{bool}}$$

10/4/16

12

Type Variables in Rules

- If_then_else rule:

$$\frac{\Gamma \vdash e_1 : \text{bool} \quad \Gamma \vdash e_2 : \tau \quad \Gamma \vdash e_3 : \tau}{\Gamma \vdash (\text{if } e_1 \text{ then } e_2 \text{ else } e_3) : \tau}$$
- τ is a type variable (meta-variable)
- Can take any type at all
- All instances in a rule application must get same type
- Then branch, else branch and if_then_else must all have same type

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13

Function Application

- Application rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash e_2 : \tau_1}{\Gamma \vdash (e_1 e_2) : \tau_2}$$

- If you have a function expression e_1 of type $\tau_1 \rightarrow \tau_2$ applied to an argument e_2 of type τ_1 , the resulting expression $e_1 e_2$ has type τ_2

10/4/16

14

Fun Rule

- Rules describe types, but also how the environment Γ may change
- Can only do what rule allows!
- fun rule:

$$\frac{\{x : \tau_1\} + \Gamma \vdash e : \tau_2}{\Gamma \vdash \text{fun } x \rightarrow e : \tau_1 \rightarrow \tau_2}$$

10/4/16

15

Fun Examples

$$\frac{\{y : \text{int}\} + \Gamma \vdash y + 3 : \text{int}}{\Gamma \vdash \text{fun } y \rightarrow y + 3 : \text{int} \rightarrow \text{int}}$$

$$\frac{\{f : \text{int} \rightarrow \text{bool}\} + \Gamma \vdash f 2 :: [\text{true}] : \text{bool list}}{\Gamma \vdash (\text{fun } f \rightarrow f 2 :: [\text{true}]) : (\text{int} \rightarrow \text{bool}) \rightarrow \text{bool list}}$$

10/4/16

16

(Monomorphic) Let and Let Rec

- let rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \{x : \tau_1\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let } x = e_1 \text{ in } e_2) : \tau_2}$$

- let rec rule:

$$\frac{\{x : \tau_1\} + \Gamma \vdash e_1 : \tau_1 \quad \{x : \tau_1\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let rec } x = e_1 \text{ in } e_2) : \tau_2}$$

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17

Example

- Which rule do we apply?

?

$$\vdash (\text{let rec one} = 1 :: \text{one in} \\ \quad \text{let } x = 2 \text{ in} \\ \quad \text{fun } y \rightarrow (x :: y :: \text{one})) : \text{int} \rightarrow \text{int list}$$

10/4/16

18

Example

Let rec rule: (2) {one : int list} |-
 (1) (let x = 2 in
{one : int list} |- fun y -> (x :: y :: one))
(1 :: one) : int list : int → int list
|-
|-(let rec one = 1 :: one in
 let x = 2 in
 fun y -> (x :: y :: one)) : int → int list

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19

Proof of 1

- Which rule?

{one : int list} |- (1 :: one) : int list

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20

Proof of 1

- Application

(3) {one : int list} |- (4)
{one : int list} |- {one : int list} |-
((::) 1) : int list → int list one : int list
|-
{one : int list} |- (1 :: one) : int list

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21

Proof of 3

Constants Rule

{one : int list} |- {one : int list} |-
((::) : int → int list → int list 1 : int
|-
{one : int list} |- ((::) 1) : int list → int list

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22

Proof of 4

- Rule for variables

{one : int list} |- one:int list

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23

Proof of 2

(5) {x:int; one : int list} |-
 Constant fun y ->
|- (x :: y :: one))
{one : int list} |- 2:int : int → int list
|-
{one : int list} |- (let x = 2 in
 fun y -> (x :: y :: one)) : int → int list

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24

Proof of 5

?

$$\frac{\{x:\text{int}; \text{one} : \text{int list}\} \vdash \text{fun } y \rightarrow (x :: y :: \text{one})}{\quad : \text{int} \rightarrow \text{int list}}$$

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25

Proof of 5

?

$$\frac{\{y:\text{int}; x:\text{int}; \text{one} : \text{int list}\} \vdash (x :: y :: \text{one}) : \text{int list}}{\{x:\text{int}; \text{one} : \text{int list}\} \vdash \text{fun } y \rightarrow (x :: y :: \text{one}) \\ : \text{int} \rightarrow \text{int list}}$$

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26

Proof of 5

⑥

⑦

$$\frac{\begin{array}{c} \{y:\text{int}; x:\text{int}; \text{one} : \text{int list}\} \quad \{y:\text{int}; x:\text{int}; \text{one} : \text{int list}\} \\ \vdash ((::) x) : \text{int list} \rightarrow \text{int list} \quad \vdash (y :: \text{one}) : \text{int list} \\ \{y:\text{int}; x:\text{int}; \text{one} : \text{int list}\} \vdash (x :: y :: \text{one}) : \text{int list} \\ \{x:\text{int}; \text{one} : \text{int list}\} \vdash \text{fun } y \rightarrow (x :: y :: \text{one}) \\ : \text{int} \rightarrow \text{int list} \end{array}}{}$$

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27

Proof of 6

Constant

Variable

$$\frac{\{ \dots \} \vdash (:) \quad \{ \dots; x:\text{int}; \dots \} \vdash x:\text{int}}{\{y:\text{int}; x:\text{int}; \text{one} : \text{int list}\} \vdash ((::) x) \\ : \text{int list} \rightarrow \text{int list}}$$

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28

Proof of 7

Pf of 6 [y/x]

Variable

⋮

$$\frac{\{y:\text{int}; \dots\} \vdash ((::) y) \quad \{ \dots; \text{one} : \text{int list}\} \vdash \text{one} : \text{int list}}{\{y:\text{int}; x:\text{int}; \text{one} : \text{int list}\} \vdash (y :: \text{one}) : \text{int list}}$$

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29

Curry - Howard Isomorphism

- Type Systems are logics; logics are type systems
- Types are propositions; propositions are types
- Terms are proofs; proofs are terms
- Function space arrow corresponds to implication; application corresponds to modus ponens

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30

Curry - Howard Isomorphism

- Modus Ponens

$$\frac{A \Rightarrow B \quad A}{B}$$

- Application

$$\frac{\Gamma \vdash e_1 : \alpha \rightarrow \beta \quad \Gamma \vdash e_2 : \alpha}{\Gamma \vdash (e_1 e_2) : \beta}$$

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31

Mea Culpa

- The above system can't handle polymorphism as in OCAML
- No type variables in type language (only meta-variable in the logic)
- Would need:
 - Object level type variables and some kind of type quantification
 - let** and **let rec** rules to introduce polymorphism
 - Explicit rule to eliminate (instantiate) polymorphism

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32

Support for Polymorphic Types

- Monomorphic Types (τ):
 - Basic Types: `int`, `bool`, `float`, `string`, `unit`, ...
 - Type Variables: $\alpha, \beta, \gamma, \delta, \varepsilon$
 - Compound Types: $\alpha \rightarrow \beta$, `int * string`, `bool list`, ...
- Polymorphic Types:
 - Monomorphic types τ
 - Universally quantified monomorphic types
 - $\forall \alpha_1, \dots, \alpha_n . \tau$
 - Can think of τ as same as $\forall . \tau$

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33

Support for Polymorphic Types

- Typing Environment Γ supplies polymorphic types (which will often just be monomorphic) for variables
- Free variables of monomorphic type just type variables that occur in it
 - Write `FreeVars(τ)`
- Free variables of polymorphic type removes variables that are universally quantified
 - $\text{FreeVars}(\forall \alpha_1, \dots, \alpha_n . \tau) = \text{FreeVars}(\tau) - \{\alpha_1, \dots, \alpha_n\}$
- $\text{FreeVars}(\Gamma)$ = all `FreeVars` of types in range of Γ

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34

Monomorphic to Polymorphic

- Given:
 - type environment Γ
 - monomorphic type τ
 - τ shares type variables with Γ
- Want most polymorphic type for τ that doesn't break sharing type variables with Γ
- $\text{Gen}(\tau, \Gamma) = \forall \alpha_1, \dots, \alpha_n . \tau$ where $\{\alpha_1, \dots, \alpha_n\} = \text{freeVars}(\tau) - \text{freeVars}(\Gamma)$

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35

Polymorphic Typing Rules

- A *type judgement* has the form $\Gamma \vdash \text{exp} : \tau$
 - Γ uses polymorphic types
 - τ still monomorphic
- Most rules stay same (except use more general typing environments)
- Rules that change:
 - Variables
 - Let and Let Rec
 - Allow polymorphic constants
- Worth noting functions again

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36

Polymorphic Let and Let Rec

- let rule:

$$\frac{\Gamma \vdash e_1 : \tau_1 \{x : \text{Gen}(\tau_1, \Gamma)\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let } x = e_1 \text{ in } e_2) : \tau_2}$$

- let rec rule:

$$\frac{\{x : \tau_1\} + \Gamma \vdash e_1 : \tau_1 \{x : \text{Gen}(\tau_1, \Gamma)\} + \Gamma \vdash e_2 : \tau_2}{\Gamma \vdash (\text{let rec } x = e_1 \text{ in } e_2) : \tau_2}$$

10/4/16

37

Polymorphic Variables (Identifiers)

Variable axiom:

$$\frac{}{\Gamma \vdash x : \varphi(\tau)} \quad \text{if } \Gamma(x) = \forall \alpha_1, \dots, \alpha_n . \tau$$

- Where φ replaces all occurrences of $\alpha_1, \dots, \alpha_n$ by monotypes τ_1, \dots, τ_n
- Note: Monomorphic rule special case:

$$\frac{}{\Gamma \vdash x : \tau} \quad \text{if } \Gamma(x) = \tau$$

Constants treated same way

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38

Fun Rule Stays the Same

- fun rule:

$$\frac{\{x : \tau_1\} + \Gamma \vdash e : \tau_2}{\Gamma \vdash \text{fun } x \rightarrow e : \tau_1 \rightarrow \tau_2}$$

- Types τ_1, τ_2 monomorphic
- Function argument must always be used at same type in function body

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39

Polymorphic Example

- Assume additional constants:
- $\text{hd} : \forall \alpha. \alpha \text{ list} \rightarrow \alpha$
- $\text{tl} : \forall \alpha. \alpha \text{ list} \rightarrow \alpha \text{ list}$
- $\text{is_empty} : \forall \alpha. \alpha \text{ list} \rightarrow \text{bool}$
- $:: : \forall \alpha. \alpha \rightarrow \alpha \text{ list} \rightarrow \alpha \text{ list}$
- $[] : \forall \alpha. \alpha \text{ list}$

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40

Polymorphic Example: Let Rec Rule

- ?
-
- ```
{} |- let rec length =
 fun l -> if is_empty l then 0
 else 1 + length (tl l)
in length (2 :: []) + length(true :: []) : int
```

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41

## Polymorphic Example: Let Rec Rule

- Show: (1)  $\{length : \alpha \text{ list} \rightarrow \text{int}\}$  (2)  $\{length : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- |                                                                                       |                                                                                  |
|---------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
| $\vdash \text{fun } l \rightarrow \dots : \alpha \text{ list} \rightarrow \text{int}$ | $\vdash \text{length} (2 :: []) + \text{length}(\text{true} :: []) : \text{int}$ |
|---------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|
- 
- ```
{} |- let rec length =
  fun l -> if is_empty l then 0
            else 1 + length (tl l)
in length (2 :: []) + length(true :: []) : int
```

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42

Polymorphic Example (4)

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

By Const since $\alpha \text{ list} \rightarrow \text{bool}$ is instance of $\forall \alpha. \alpha \text{ list} \rightarrow \text{bool}$?

$$\frac{\Gamma |- \text{is_empty} : \alpha \text{ list} \rightarrow \text{bool} \quad \Gamma |- \text{l} : \alpha \text{ list}}{\Gamma |- \text{is_empty l} : \text{bool}}$$

10/4/16

49

Polymorphic Example (4)

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

By Const since $\alpha \text{ list} \rightarrow \text{bool}$ is instance of $\forall \alpha. \alpha \text{ list} \rightarrow \text{bool}$ By Variable $\Gamma(\text{l}) = \alpha \text{ list}$

$$\frac{\Gamma |- \text{is_empty} : \alpha \text{ list} \rightarrow \text{bool} \quad \Gamma |- \text{l} : \alpha \text{ list}}{\Gamma |- \text{is_empty l} : \text{bool}}$$

- This finishes (4)

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50

Polymorphic Example (5):Const

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

By Const Rule

$$\frac{}{\Gamma |- 0 : \text{int}}$$

10/4/16

51

Polymorphic Example (6):Arith Op

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

$$\frac{\begin{array}{c} \text{By Variable} \\ \frac{\Gamma |- \text{length}}{\Gamma |- \text{length} (\text{tl l}) : \alpha \text{ list}} \end{array} \quad \begin{array}{c} \text{By Const} \\ \frac{\Gamma |- \text{l} : \alpha \text{ list} \rightarrow \text{int}}{\Gamma |- 1 : \text{int}} \end{array}}{\Gamma |- 1 + \text{length} (\text{tl l}) : \text{int}} \quad (7)$$

10/4/16

52

Polymorphic Example (7):App Rule

- Let $\Gamma = \{\text{length} : \alpha \text{ list} \rightarrow \text{int}, \text{l} : \alpha \text{ list}\}$
- Show

$$\frac{\begin{array}{c} \text{By Const} \\ \frac{\Gamma |- \text{tl} : \alpha \text{ list} \rightarrow \alpha \text{ list}}{\Gamma |- (\text{tl l}) : \alpha \text{ list}} \end{array} \quad \begin{array}{c} \text{By Variable} \\ \frac{\Gamma |- \text{l} : \alpha \text{ list}}{\Gamma |- (\text{tl l}) : \alpha \text{ list}} \end{array}}{\Gamma |- (\text{tl l}) : \alpha \text{ list}}$$

By Const since $\alpha \text{ list} \rightarrow \alpha \text{ list}$ is instance of $\forall \alpha. \alpha \text{ list} \rightarrow \alpha \text{ list}$

10/4/16

53

Polymorphic Example: (2) by ArithOp

- Let $\Gamma' = \{\text{length} : \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$
- Show:

$$\frac{}{\Gamma' |- \text{length} (\text{true} :: []) : \text{int}} \quad (8)$$

$$\frac{\Gamma' |- \text{length} (\text{true} :: []) : \text{int}}{\Gamma' |- \text{length} (\text{true} :: []) : \text{int}} \quad (9)$$

$$\frac{\begin{array}{c} \text{length} (\text{true} :: []) : \text{int} \\ \quad \frac{\text{length} (\text{true} :: []) : \text{int}}{\text{length} (\text{true} :: []) : \text{int}} \end{array} \quad \begin{array}{c} \text{length} (\text{true} :: []) : \text{int} \\ \quad \frac{\text{length} (\text{true} :: []) : \text{int}}{\text{length} (\text{true} :: []) : \text{int}} \end{array}}{\text{length} (\text{true} :: []) + \text{length} (\text{true} :: []) : \text{int}}$$

10/4/16

54

Polymorphic Example: (8)AppRule

- Let $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

Show:

$$\frac{\Gamma' |- \text{length} : \text{int list} \rightarrow \text{int} \quad \Gamma' |- (2 :: []): \text{int list}}{\Gamma' |- \text{length} (2 :: []): \text{int}}$$

10/4/16

55

Polymorphic Example: (8)AppRule

- Let $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

Show:

By Var since $\text{int list} \rightarrow \text{int}$ is instance of
 $\forall \alpha. \alpha \text{ list} \rightarrow \text{int}$

(10)

$$\frac{\Gamma' |- \text{length} : \text{int list} \rightarrow \text{int} \quad \Gamma' |- ((::) 2 []): \text{int list}}{\Gamma' |- \text{length} ((::) 2 []): \text{int}}$$

10/4/16

56

Polymorphic Example: (10)AppRule

- Let $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

Show:

By Const since $\alpha \text{ list}$ is instance of
 $\forall \alpha. \alpha \text{ list}$

(11)

$$\frac{\Gamma' |- ((::) 2) : \text{int list} \rightarrow \text{int list} \quad \Gamma' |- [] : \text{int list}}{\Gamma' |- ((::) 2 []): \text{int list}}$$

10/4/16

57

Polymorphic Example: (11)AppRule

- Let $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

Show:

By Const since $\alpha \text{ list}$ is instance of
 $\forall \alpha. \alpha \text{ list}$

By Const

$$\frac{\Gamma' |- ((::) 2) : \text{int list} \rightarrow \text{int list} \quad \Gamma' |- 2 : \text{int}}{\Gamma' |- ((::) 2) : \text{int list} \rightarrow \text{int list}}$$

10/4/16

58

Polymorphic Example: (9)AppRule

- Let $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

Show:

$$\frac{\Gamma' |- \text{length}: \text{bool list} \rightarrow \text{int} \quad \Gamma' |- ((::) \text{true} []): \text{bool list}}{\Gamma' |- \text{length} ((::) \text{true} []): \text{int}}$$

10/4/16

59

Polymorphic Example: (9)AppRule

- Let $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

Show:

By Var since $\text{bool list} \rightarrow \text{int}$ is instance of
 $\forall \alpha. \alpha \text{ list} \rightarrow \text{int}$

(12)

$$\frac{\Gamma' |- \text{length}: \text{bool list} \rightarrow \text{int} \quad \Gamma' |- ((::) \text{true} []): \text{bool list}}{\Gamma' |- \text{length} ((::) \text{true} []): \text{int}}$$

10/4/16

60



Polymorphic Example: (12)AppRule

- Let $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

Show:

- By Const since α list is instance of $\forall \alpha. \alpha$ list

(13)

$$\frac{\Gamma' |- ((::)\text{true}): \text{bool list} \rightarrow \text{bool list} \quad \Gamma' |- []: \text{bool list}}{\Gamma' |- ((::)\text{true} []): \text{bool list}}$$

10/4/16

61



Polymorphic Example: (13)AppRule

- Let $\Gamma' = \{\text{length} \forall \alpha. \alpha \text{ list} \rightarrow \text{int}\}$

Show:

By Const since bool list
is instance of $\forall \alpha. \alpha$ list

$\Gamma' |-$

By Const

$$\frac{\Gamma' |- \quad (\text{true} : \text{bool})}{\Gamma' |- ((::)\text{true}) : \text{bool list} \rightarrow \text{bool list}}$$

10/4/16

62