Programming Languages and Compilers (CS 421)

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Based in part on slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha

Functions

```
# let plus_two n = n + 2;;
val plus_two : int -> int = <fun>
# plus_two 17;;
- : int = 19
# let plus_two = fun n -> n + 2;;
val plus_two : int -> int = <fun>
# plus_two 14;;
- : int = 16
```

First definition syntactic sugar for second

Closure for plus_x

- When plus_x was defined, had environment:

  $\rho_{plus_x} = \{\ldots, x \rightarrow 12, \ldots\}$
- Recall: let plus_x y = y + x

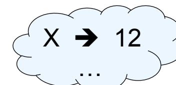
  is really let plus_x = fun y -> y + x
- Closure for fun y -> y + x:

  $< y \rightarrow y + x, \rho_{plus_x} >$
- Environment just after plus_x defined:

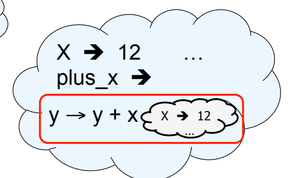
  $\{plus_x \rightarrow < y \rightarrow y + x, \rho_{plus_x} >\} + \rho_{plus_x}$

Recall: let plus_x = fun x => y + x

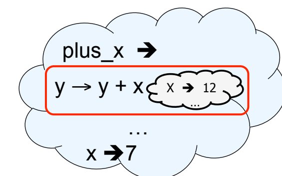
let x = 12



let plus_x = fun y => y + x



let x = 7



Functions on tuples

```
# let plus_pair (n,m) = n + m;;
val plus_pair : int * int -> int = <fun>
# plus_pair (3,4);;
- : int = 7
# let double x = (x,x);;
val double : 'a -> 'a * 'a = <fun>
# double 3;;
- : int * int = (3, 3)
# double "hi";;
- : string * string = ("hi", "hi")
```


Save the Environment!

- A *closure* is a pair of an environment and an association of a sequence of variables (the input variables) with an expression (the function body), written:

  $< (v1,\ldots,vn) \rightarrow exp, \rho >$
- Where ρ is the environment in effect when the function is defined (for a simple function)

Closure for plus_pair

- Assume ρ_{plus_pair} was the environment just before plus_pair defined
- Closure for fun (n,m) -> n + m:

  $<(n,m) \rightarrow n + m, \rho_{plus_pair}>$
- Environment just after plus_pair defined:

  $\{plus_pair \rightarrow <(n,m) \rightarrow n + m, \rho_{plus_pair}>\} + \rho_{plus_pair}$

Functions with more than one argument

```
# let add_three x y z = x + y + z;;
val add_three : int -> int -> int -> int = <fun>
# let t = add_three 6 3 2;;
val t : int = 11
# let add_three =
  fun x -> (fun y -> (fun z -> x + y + z));;
val add_three : int -> int -> int -> int = <fun>
```

Again, first syntactic sugar for second

Partial application of functions

```
let add_three x y z = x + y + z;;

# let h = add_three 5 4;;
val h : int -> int = <fun>
# h 3;;
- : int = 12
# h 7;;
- : int = 16
```


Curried vs Uncurried

- Recall

val add_three : int -> int -> int -> int = <fun>

- How does it differ from

```
# let add_triple (u,v,w) = u + v + w;;
val add_triple : int * int * int -> int = <fun>
```

- add_three is *curried*;
- add_triple is *uncurried*

Curried vs Uncurried

```
# add_triple (6,3,2);;
- : int = 11
# add_triple 5 4;;
Characters 0-10:
  add_triple 5 4;;
  ^^^^^^^^^^
This function is applied to too many arguments,
maybe you forgot a `;'
# fun x -> add_triple (5,4,x);;
: int -> int = <fun>
```


Functions as arguments

```
# let thrice f x = f (f (f x));;
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
# let g = thrice plus_two;;
val g : int -> int = <fun>
# g 4;;
- : int = 10
# thrice (fun s -> "Hi! " ^ s) "Good-bye!";;
- : string = "Hi! Hi! Hi! Good-bye!"
```

Higher Order Functions

- A function is *higher-order* if it takes a function as an argument or returns one as a result
- Example:

```
# let compose f g = fun x -> f (g x);;
val compose : ('a -> 'b) -> ('c -> 'a) -> 'c ->
  'b = <fun>
```

- The type ('a -> 'b) -> ('c -> 'a) -> 'c -> 'b is a higher order type because of ('a -> 'b) and ('c -> 'a) and -> 'c -> 'b

Thrice

- Recall:

```
# let thrice f x = f (f (f x));;
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

- How do you write thrice with compose?

Thrice

- Recall:

```
# let thrice f x = f (f (f x));;
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

- How do you write thrice with compose?

```
# let thrice f = compose f (compose f f);;
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

- Is this the only way?

Partial Application

```
# (+);;
- : int -> int -> int = <fun>
# (+) 2 3;;
- : int = 5
# let plus_two = (+) 2;;
val plus_two : int -> int = <fun>
# plus_two 7;;
- : int = 9
```

- Patial application also called *sectioning*

Partial Application and "Unknown Types"

- Recall compose plus_two:

```
# let f1 = compose plus_two;;
val f1 : ('_a -> int) -> '_a -> int = <fun>
```

- Compare to lambda lifted version:

```
# let f2 = fun g -> compose plus_two g;;
val f2 : ('a -> int) -> 'a -> int = <fun>
```

- What is the difference?

Partial Application and "Unknown Types"

- '_a can only be instantiated once for an expression

```
# f1 plus_two;;
- : int -> int = <fun>
# f1 List.length;;
Characters 3-14:
  f1 List.length;;
     ^^^^^^^^^^^
This expression has type 'a list -> int but is here used
  with type int -> int
```

Partial Application and “Unknown Types”

- ‘a can be repeatedly instantiated

```
# f2 plus_two;;
- : int -> int = <fun>
# f2 List.length;;
- : '_a list -> int = <fun>
```


Lambda Lifting

- You must remember the rules for evaluation when you use partial application

```
# let add_two = (+) (print_string "test\n"; 2);;
test
val add_two : int -> int = <fun>
# let add2 =     (* lambda lifted *)
   fun x -> (+) (print_string "test\n"; 2) x;;
val add2 : int -> int = <fun>
```


Lambda Lifting

```
# thrice add_two 5;;
- : int = 11
# thrice add2 5;;
test
test
test
- : int = 11
```

- Lambda lifting delayed the evaluation of the argument to (+) until the second argument was supplied

Evaluating declarations

- Evaluation uses an environment ρ
- To evaluate a (simple) declaration let x = e
  - Evaluate expression e in ρ to value v
  - Update ρ with x v: $\{x \to v\} + \rho$
- Update: $\rho_1 + \rho_2$ has all the bindings in ρ_1 and all those in ρ_2 that are not rebound in ρ_1

$\{x \to 2, y \to 3, a \to \text{“hi”}\} + \{y \to 100, b \to 6\}$
$= \{x \to 2, y \to 3, a \to \text{“hi”}, b \to 6\}$

Evaluating expressions

- Evaluation uses an environment ρ
- A constant evaluates to itself
- To evaluate an variable, look it up in ρ ($\rho(v)$)
- To evaluate uses of +, - , etc, eval args, then do operation
- Function expression evaluates to its closure
- To evaluate a local dec: let x = e1 in e2
  - Eval e1 to v, eval e2 using $\{x \to v\} + \rho$

Evaluation of if-then-else

- Assume current environment ρ
- Evaluate if e_1 then e_2 else e_3 by
  - First evaluate e_1 to boolean v_1
  - If v_1 is true, evaluate e_2 to v_2; v_2 value of whole expression
    - Do not evaluate e_3
  - If v_2 is false, evaluate e_3 to v_3; v_3 value of whole expression
    - Do not evaluate e_2

Eval of App $e_1 e_2$ with Closures in Ocaml

1. In environment ρ_0, evaluate right term e_2 to values $(v_1,\ldots,v_n)$
2. In environment ρ_0, evaluate left term e_1 to closure, $c = <(x_1,\ldots,x_n) \rightarrow b,\ \rho_1>$
3. Match $(x_1,\ldots,x_n)$ variables in (first) argument with value $(v_1,\ldots,v_n)$
4. Update environment ρ_1 to $\rho' = \{x_1 \rightarrow v_1,\ldots, x_n \rightarrow v_n\} + \rho_1$
5. Evaluate body b in environment ρ'

Structural Recursion

- Functions on recursive datatypes (eg lists) tend to be recursive
- Recursion over recursive datatypes generally by structural recursion
  - Recursive calls made to components of structure of the same recursive type
  - Base cases of recursive types stop the recursion of the function

Structural Recursion : List Example

```
# let rec length list = match list
  with [ ] -> 0   (* Nil case *)
  | x :: xs -> 1 + length xs;;  (* Cons case *)
val length : 'a list -> int = <fun>
# length [5; 4; 3; 2];;
- : int = 4
```

- Nil case [ ] is base case
- Cons case recurses on component list xs

Forward Recursion

- In Structural Recursion, split input into components and (eventually) recurse on components
- Forward Recursion form of Structural Recursion
- In forward recursion, first call the function recursively on all recursive components, and then build final result from partial results
- Wait until whole structure has been traversed to start building answer

Forward Recursion: Examples

```
# let rec double_up list =
   match list
   with [ ] -> [ ]
     | (x :: xs) -> (x :: x :: double_up xs);;
val double_up : 'a list -> 'a list = <fun>

# let rec poor_rev list =
  match list
  with [] -> []
    | (x::xs) -> poor_rev xs @ [x];;
val poor_rev : 'a list -> 'a list = <fun>
```


Question

- How do you write length with forward recursion?

```
let rec length l =
```

Question

- How do you write length with forward recursion?

```
let rec length l =
    match l with [] ->
     | (a :: bs) ->
```


Question

- How do you write length with forward recursion?

```
let rec length l =
    match l with [] ->
     | (a :: bs) ->        length bs
```


Question

- How do you write length with forward recursion?

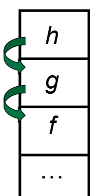
```
let rec length l =
    match l with [] -> 0
     | (a :: bs) -> 1 + length bs
```


Your turn now

Try Problem 2 on ML2

An Important Optimization

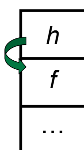
Normal call



- When a function call is made, the return address needs to be saved to the stack so we know to where to return when the call is finished
- What if *f* calls *g* and *g* calls *h*, but calling *h* is the last thing *g* does (a *tail call*)?

An Important Optimization

Tail call



- When a function call is made, the return address needs to be saved to the stack so we know to where to return when the call is finished
- What if *f* calls *g* and *g* calls *h*, but calling *h* is the last thing *g* does (a *tail call*)?
- Then *h* can return directly to *f* instead of *g*

Tail Recursion

- A recursive program is tail recursive if all recursive calls are tail calls
- Tail recursive programs may be optimized to be implemented as loops, thus removing the function call overhead for the recursive calls
- Tail recursion generally requires extra “accumulator” arguments to pass partial results
  - May require an auxiliary function

Example of Tail Recursion

```
# let rec prod l =
   match l with [] -> 1
   | (x :: rem) -> x * prod rem;;
val prod : int list -> int = <fun>
# let prod list =
   let rec prod_aux l acc =
      match l with [] -> acc
       | (y :: rest) -> prod_aux rest (acc * y)
(* Uses associativity of multiplication *)
   in prod_aux list 1;;
 val prod : int list -> int = <fun>
```


Question

- How do you write length with tail recursion?

```
let length l =
```


Question

- How do you write length with tail recursion?

```
let length l =
   let rec length_aux list n =


in
```


Question

- How do you write length with tail recursion?

```
let length l =
   let rec length_aux list n =
   match list with [] ->
    | (a :: bs) ->
in
```


Question

- How do you write length with tail recursion?

```
let length l =
   let rec length_aux list n =
   match list with [] -> n
    | (a :: bs) ->
in
```

Question

- How do you write length with tail recursion?

```
let length l =
    let rec length_aux list n =
    match list with [] -> n
     | (a :: bs) -> length_aux
in
```

Question

- How do you write length with tail recursion?

```
let length l =
    let rec length_aux list n =
    match list with [] -> n
     | (a :: bs) -> length_aux bs
in
```

Question

- How do you write length with tail recursion?

```
let length l =
    let rec length_aux list n =
    match list with [] -> n
     | (a :: bs) -> length_aux bs (n + 1)
in
```

Question

- How do you write length with tail recursion?

```
let length l =
    let rec length_aux list n =
    match list with [] -> n
     | (a :: bs) -> length_aux bs (n + 1)
in length_aux l 0
```

Your turn now

Try Problem 4 on MP2

Mapping Recursion

- One common form of structural recursion applies a function to each element in the structure

```
# let rec doubleList list = match list
   with [ ] -> [ ]
   | x::xs -> 2 * x :: doubleList xs;;
val doubleList : int list -> int list = <fun>
# doubleList [2;3;4];;
- : int list = [4; 6; 8]
```

Mapping Functions Over Lists

```
# let rec map f list =
  match list
  with [] -> []
  | (h::t) -> (f h) :: (map f t);;
val map : ('a -> 'b) -> 'a list -> 'b list = <fun>
# map plus_two fib5;;
- : int list = [10; 7; 5; 4; 3; 3]
# map (fun x -> x - 1) fib6;;
: int list = [12; 7; 4; 2; 1; 0; 0]
```


Mapping Recursion

- Can use the higher-order recursive map function instead of direct recursion

```
# let doubleList list =
    List.map (fun x -> 2 * x) list;;
val doubleList : int list -> int list = <fun>
# doubleList [2;3;4];;
- : int list = [4; 6; 8]
```

- Same function, but no rec

Folding Recursion

- Another common form "folds" an operation over the elements of the structure

```
# let rec multList list = match list
  with [ ] -> 1
  | x::xs -> x * multList xs;;
val multList : int list -> int = <fun>
# multList [2;4;6];;
- : int = 48
```

- Computes (2 * (4 * (6 * 1)))

Folding Functions over Lists

How are the following functions similar?

```
# let rec sumlist list = match list with
  [ ] -> 0 | x::xs -> x + sumlist xs;;
val sumlist : int list -> int = <fun>
# sumlist [2;3;4];;
- : int = 9
# let rec prodlist list = match list with
  [ ] -> 1 | x::xs -> x * prodlist xs;;
val prodlist : int list -> int = <fun>
# prodlist [2;3;4];;
- : int = 24
```


Iterating over lists

```
# let rec fold_right f list b =
  match list
  with [] -> b
  | (x :: xs) -> f x (fold_right f xs b);;
val fold_right : ('a -> 'b -> 'b) -> 'a list -> 'b -> 'b =
   <fun>
# fold_right
    (fun s -> fun () -> print_string s)
    ["hi"; "there"]
    ();;
therehi- : unit = ()
```


Folding Recursion

- multList folds to the right
- Same as:

```
# let multList list =
    List.fold_right
    (fun x -> fun p -> x * p)
    list 1;;
val multList : int list -> int = <fun>
# multList [2;4;6];;
- : int = 48
```

Encoding Recursion with Fold

```
# let rec append list1 list2 = match list1 with
  [ ] -> list2 | x::xs -> x :: append xs list2;;
val append : 'a list -> 'a list -> 'a list = <fun>
```

Base Case | Operation | Recursive Call

```
# let append list1 list2 =
  fold_right (fun x y -> x :: y) list1 list2;;
val append : 'a list -> 'a list -> 'a list = <fun>
# append [1;2;3] [4;5;6];;
 - : int list = [1; 2; 3; 4; 5; 6]
```


Question

```
let rec length l =
   match l with [] -> 0
    | (a :: bs) -> 1 + length bs
```

- How do you write length with fold_right, but no explicit recursion?

Question

```
let rec length l =
   match l with [] -> 0
    | (a :: bs) -> 1 + length bs
```

- How do you write length with fold_right, but no explicit recursion?

```
let length list =
 List.fold_right (fun x -> fun n ->  n + 1) list 0
```


Map from Fold

```
# let map f list =
 fold_right (fun x -> fun y -> f x :: y) list
   [ ];;
val map : ('a -> 'b) -> 'a list -> 'b list =
   <fun>
# map ((+)1) [1;2;3];;
- : int list = [2; 3; 4]
```

- Can you write fold_right (or fold_left) with just map? How, or why not?

Iterating over lists

```
# let rec fold_left f a list =
  match list
  with [] -> a
  | (x :: xs) -> fold_left f (f a x) xs;;
val fold_left : ('a -> 'b -> 'a) -> 'a -> 'b list -> 'a =
   <fun>
# fold_left
   (fun () -> print_string)
   ()
   ["hi"; "there"];;
hithere- : unit = ()
```


Encoding Tail Recursion with fold_left

```
# let prod list = let rec prod_aux l acc =
       match l with [] -> acc
       | (y :: rest) -> prod_aux rest (acc * y)
     in prod_aux list 1;;
val prod : int list -> int = <fun>
```

Init Acc Value | Recursive Call | Operation

```
# let prod list =
  List.fold_left (fun acc y -> acc * y) 1 list;;
val prod: int list -> int = <fun>
# prod [4;5;6];;
 - : int =120
```

Question

```
let length l =
    let rec length_aux list n =
    match list with [] -> n
     | (a :: bs) -> length_aux bs (n + 1)
in length_aux l 0
```

- How do you write length with fold_left, but no explicit recursion?

Question

```
let length l =
    let rec length_aux list n =
    match list with [] -> n
     | (a :: bs) -> length_aux bs (n + 1)
in length_aux l 0
```

- How do you write length with fold_left, but no explicit recursion?

```
let length list =
 List.fold_left (fun n -> fun x -> n + 1) 0 list
```


Folding

```
# let rec fold_left f a list = match list
  with [] -> a | (x :: xs) -> fold_left f (f a x) xs;;
val fold_left : ('a -> 'b -> 'a) -> 'a -> 'b list -> 'a =
  <fun>
```

fold_left f a [x_1; x_2;…;x_n] = f(…(f (f a x_1) x_2)…)x_n

```
# let rec fold_right f list b = match list
  with [ ] -> b | (x :: xs) -> f x (fold_right f xs b);;
val fold_right : ('a -> 'b -> 'b) -> 'a list -> 'b -> 'b =
  <fun>
```

fold_right f [x_1; x_2;…;x_n] b = f x_1(f x_2 (…(f x_n b)…))

Recall

```
# let rec poor_rev list = match list
  with [] -> []
    | (x::xs) -> poor_rev xs @ [x];;
val poor_rev : 'a list -> 'a list = <fun>
```

- What is its running time?

Quadratic Time

- Each step of the recursion takes time proportional to input
- Each step of the recursion makes only one recursive call.
- List example:

```
# let rec poor_rev list = match list
  with [] -> []
    | (x::xs) -> poor_rev xs @ [x];;
val poor_rev : 'a list -> 'a list = <fun>
```


Tail Recursion - Example

```
# let rec rev_aux list revlist =
  match list with [ ] -> revlist
  | x :: xs -> rev_aux xs (x::revlist);;
val rev_aux : 'a list -> 'a list -> 'a list = <fun>

# let rev list = rev_aux list [ ];;
val rev : 'a list -> 'a list = <fun>
```

- What is its running time?

Comparison

- poor_rev [1,2,3] =
- (poor_rev [2,3]) @ [1] =
- ((poor_rev [3]) @ [2]) @ [1] =
- (((poor_rev [ ]) @ [3]) @ [2]) @ [1] =
- (([ ] @ [3]) @ [2]) @ [1]) =
- ([3] @ [2]) @ [1] =
- (3:: ([ ] @ [2])) @ [1] =
- [3,2] @ [1] =
- 3 :: ([2] @ [1]) =
- 3 :: (2:: ([ ] @ [1])) = [3, 2, 1]

Comparison

- rev [1,2,3] =
- rev_aux [1,2,3] [ ] =
- rev_aux [2,3] [1] =
- rev_aux [3] [2,1] =
- rev_aux [ ] [3,2,1] = [3,2,1]

Folding - Tail Recursion

```
# let rev list =
      fold_left
        (fun l -> fun x -> x :: l)    //comb op
        []              //accumulator cell
        list
```


Folding

- Can replace recursion by fold_right in any forward primitive recursive definition
  - Primitive recursive means it only recurses on immediate subcomponents of recursive data structure
- Can replace recursion by fold_left in any tail primitive recursive definition

Continuation Passing Style

- A programming technique for all forms of “non-local” control flow:
  - non-local jumps
  - exceptions
  - general conversion of non-tail calls to tail calls
- Essentially it’s a higher-order function version of GOTO

Continuations

- Idea: Use functions to represent the control flow of a program
- Method: Each procedure takes a function as an argument to which to pass its result; outer procedure “returns” no result
- Function receiving the result called a continuation
- Continuation acts as “accumulator” for work still to be done

Example of Tail Recursion

```
# let rec app fl x =
    match fl with [] -> x
     | (f :: rem_fs) -> f (app rem_fs x);;
val app : ('a -> 'a) list -> 'a -> 'a = <fun>
# let app fs x =
    let rec app_aux fl acc=
        match fl with [] -> acc
        | (f :: rem_fs) -> app_aux rem_fs
                            (fun z -> acc (f z))
    in app_aux fs (fun y -> y) x;;
val app : ('a -> 'a) list -> 'a -> 'a = <fun>
```


Continuation Passing Style

- Writing procedures so that they take a continuation to which to give (pass) the result, and return no result, is called continuation passing style (CPS)

Example of Tail Recursion & CSP

```
# let app fs x =
    let rec app_aux fl acc=
        match fl with [] -> acc
        | (f :: rem_fs) -> app_aux rem_fs
                            (fun z -> acc (f z))
    in app_aux fs (fun y -> y) x;;
val app : ('a -> 'a) list -> 'a -> 'a = <fun>
# let rec appk fl x k =
    match fl with [] -> k x
    | (f :: rem_fs) -> appk rem_fs x (fun z -> k (f z));;
val appk : ('a -> 'a) list -> 'a -> ('a -> 'b) -> 'b
```


Continuation Passing Style

- A compilation technique to implement non-local control flow, especially useful in interpreters.
- A formalization of non-local control flow in denotational semantics

Terms

- A function is in Direct Style when it returns its result back to the caller.
- A Tail Call occurs when a function returns the result of another function call without any more computations (eg tail recursion)
- A function is in Continuation Passing Style when it passes its result to another function.
- Instead of returning the result to the caller, we pass it forward to another function.

Example

- Simple reporting continuation:

```
# let report x = (print_int x; print_newline( ) );;
val report : int -> unit = <fun>
```

- Simple function using a continuation:

```
# let plusk a b k = k (a + b)
val plusk : int -> int -> (int -> 'a) -> 'a = <fun>
# plusk 20 22 report;;
42
- : unit = ()
```

Simple Functions Taking Continuations

- Given a primitive operation, can convert it to pass its result forward to a continuation
- Examples:

```
# let subk x y k = k(x + y);;
val subk : int -> int -> (int -> 'a) -> 'a = <fun>
# let eqk x y k = k(x = y);;
val eqk : 'a -> 'a -> (bool -> 'b) -> 'b = <fun>
# let timesk x y k = k(x * y);;
val timesk : int -> int -> (int -> 'a) -> 'a = <fun>
```


Nesting Continuations

```
# let add_three x y z = x + y + z;;
val add_three : int -> int -> int -> int = <fun>
# let add_three x y z= let p = x + y in  p + z;;
val add_three : int -> int -> int -> int = <fun>
# let add_three_k x y z k =
  addk x y (fun p -> addk p z k );;
val add_three_k : int -> int -> int -> (int -> 'a)
  -> 'a = <fun>
```