

## Programming Languages and Compilers (CS 421)

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## Grammars

- Grammars are formal descriptions of which strings over a given character set are in a particular language
- Language designers write grammar
- Language implementers use grammar to know what programs to accept
- Language users use grammar to know how to write legitimate programs

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## Types of Formal Language Descriptions

- Regular expressions, regular grammars
- Context-free grammars, BNF grammars, syntax diagrams
- Finite state automata
  
- Whole family more of grammars and automata – covered in automata theory

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## Sample Grammar

- Language: Parenthesized sums of 0's and 1's
  
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$

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## BNF Grammars

- Start with a set of characters, **a,b,c,...**
  - We call these *terminals*
- Add a set of different characters, **X,Y,Z,**  
...
  - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

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## BNF Grammars

- BNF rules (aka *productions*) have form  
$$\mathbf{X} ::= y$$
where **X** is any nonterminal and *y* is a string of terminals and nonterminals
- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

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## Sample Grammar

- Terminals: 0 1 + ( )
- Nonterminals: <Sum>
- Start symbol = <Sum>
- <Sum> ::= 0
- <Sum> ::= 1
- <Sum> ::= <Sum> + <Sum>
- <Sum> ::= (<Sum>)
- Can be abbreviated as  
    <Sum> ::= 0 | 1  
              | <Sum> + <Sum> | (<Sum>)

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## BNF Derivations

- Given rules  
     $X ::= yZw$  and  $Z ::= v$   
we may replace  $Z$  by  $v$  to say  
     $X \Rightarrow yZw \Rightarrow yvw$
- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

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## BNF Derivations

- Start with the start symbol:

<Sum> =>

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## BNF Derivations

- Pick a non-terminal

<Sum> =>

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## BNF Derivations

- Pick a rule and substitute:
  - <Sum> ::= <Sum> + <Sum>

<Sum> => <Sum> + <Sum>

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## BNF Derivations

- Pick a non-terminal:

<Sum> => <Sum> + <Sum>

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= ( \langle \text{Sum} \rangle )$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 1$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a rule and substitute:

■  $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$

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## BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$

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## BNF Derivations

- Pick a rule and substitute

■  $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) 0$   
 $\Rightarrow ( 0 + 1 ) + 0$

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## BNF Derivations

- $( 0 + 1 ) + 0$  is generated by grammar

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$   
 $\Rightarrow ( 0 + 1 ) + 0$

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$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid ( \langle \text{Sum} \rangle )$

$\langle \text{Sum} \rangle \Rightarrow$

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## BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

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## Extended BNF Grammars

- Alternatives: allow rules of form  $X ::= y/z$ 
  - Abbreviates  $X ::= y, X ::= z$
- Options:  $X ::= y[v]z$ 
  - Abbreviates  $X ::= yvz, X ::= yz$
- Repetition:  $X ::= y\{v\}^*z$ 
  - Can be eliminated by adding new nonterminal  $V$  and rules  $X ::= yz, X ::= yVz, V ::= v, V ::= W$

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## Regular Grammars

- Subclass of BNF
- Only rules of form  $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$  or  $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$  or  $\langle \text{nonterminal} \rangle ::= \epsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)

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## Example

- Regular grammar:
  - $\langle \text{Balanced} \rangle ::= \epsilon$
  - $\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$
  - $\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$
  - $\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$
  - $\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$
- Generates even length strings where every initial substring of even length has same number of 0's as 1's

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## Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

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## Example

- Consider grammar:
  - $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$ 
    - |  $\langle \text{factor} \rangle + \langle \text{factor} \rangle$
  - $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$ 
    - |  $\langle \text{bin} \rangle * \langle \text{exp} \rangle$
  - $\langle \text{bin} \rangle ::= 0 \mid 1$
- Problem: Build parse tree for  $1 * 1 + 0$  as an  $\langle \text{exp} \rangle$

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## Example cont.

- $1 * 1 + 0: \langle \text{exp} \rangle$

$\langle \text{exp} \rangle$  is the start symbol for this parse tree

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### Example cont.

■ 1 \* 1 + 0:  $\langle \text{exp} \rangle$   
                   $\downarrow$   
                   $\langle \text{factor} \rangle$

Use rule:  $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$

### Example cont.

■ 1 \* 1 + 0:  $\langle \text{exp} \rangle$   
                   $\downarrow$   
                   $\langle \text{factor} \rangle$   
                   $\swarrow \quad \downarrow \quad \searrow$   
                   $\langle \text{bin} \rangle \quad * \quad \langle \text{exp} \rangle$

Use rule:  $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle * \langle \text{exp} \rangle$

### Example cont.

■ 1 \* 1 + 0:  $\langle \text{exp} \rangle$   
                   $\downarrow$   
                   $\langle \text{factor} \rangle$   
                   $\swarrow \quad \downarrow \quad \searrow$   
                   $\langle \text{bin} \rangle \quad * \quad \langle \text{exp} \rangle$   
                   $\downarrow \quad \swarrow \quad \downarrow \quad \searrow$   
                  1       $\langle \text{factor} \rangle$  +  $\langle \text{factor} \rangle$

Use rules:  $\langle \text{bin} \rangle ::= 1$  and  
                   $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle + \langle \text{factor} \rangle$

### Example cont.

■ 1 \* 1 + 0:  $\langle \text{exp} \rangle$   
                   $\downarrow$   
                   $\langle \text{factor} \rangle$   
                   $\swarrow \quad \downarrow \quad \searrow$   
                   $\langle \text{bin} \rangle \quad * \quad \langle \text{exp} \rangle$   
                   $\downarrow \quad \swarrow \quad \downarrow \quad \searrow$   
                  1       $\langle \text{factor} \rangle$  +  $\langle \text{factor} \rangle$   
                           $\downarrow$                      $\downarrow$   
                           $\langle \text{bin} \rangle$                      $\langle \text{bin} \rangle$

Use rule:  $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$

### Example cont.

■ 1 \* 1 + 0:  $\langle \text{exp} \rangle$   
                   $\downarrow$   
                   $\langle \text{factor} \rangle$   
                   $\swarrow \quad \downarrow \quad \searrow$   
                   $\langle \text{bin} \rangle \quad * \quad \langle \text{exp} \rangle$   
                   $\downarrow \quad \swarrow \quad \downarrow \quad \searrow$   
                  1       $\langle \text{factor} \rangle$  +  $\langle \text{factor} \rangle$   
                           $\downarrow$                      $\downarrow$   
                           $\langle \text{bin} \rangle$                      $\langle \text{bin} \rangle$   
                           $\downarrow$                      $\downarrow$   
                          1                        0

Use rules:  $\langle \text{bin} \rangle ::= 1 \mid 0$

### Example cont.

■ 1 \* 1 + 0:  $\langle \text{exp} \rangle$   
                   $\downarrow$   
                   $\langle \text{factor} \rangle$   
                   $\swarrow \quad \downarrow \quad \searrow$   
                   $\langle \text{bin} \rangle \quad * \quad \langle \text{exp} \rangle$   
                   $\downarrow \quad \swarrow \quad \downarrow \quad \searrow$   
                  1       $\langle \text{factor} \rangle$  +  $\langle \text{factor} \rangle$   
                           $\downarrow$                      $\downarrow$   
                           $\langle \text{bin} \rangle$                      $\langle \text{bin} \rangle$   
                           $\downarrow$                      $\downarrow$   
                          1                        0

Fringe of tree is string generated by grammar

Your Turn:  $1 * 0 + 0 * 1$

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## Parse Tree Data Structures

- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

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## Example

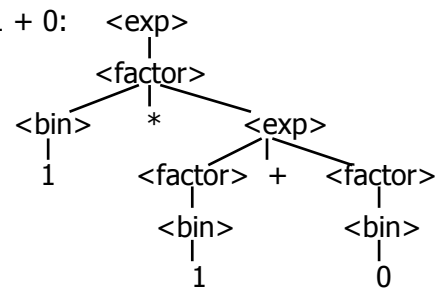
- Recall grammar:  
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$   
 $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$   
 $\langle \text{bin} \rangle ::= 0 \mid 1$
- type `exp = Factor2Exp of factor`  
| `Plus of factor * factor`  
and `factor = Bin2Factor of bin`  
| `Mult of bin * exp`  
and `bin = Zero | One`

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## Example cont.

- $1 * 1 + 0$ :



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## Example cont.

- Can be represented as

```
Factor2Exp
(Mult(One,
      Plus(Bin2Factor One,
            Bin2Factor Zero)))
```

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## Ambiguous Grammars and Languages

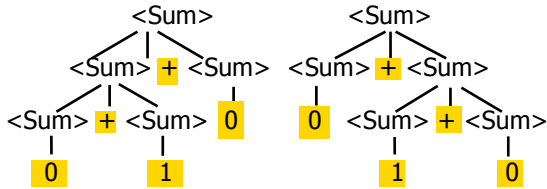
- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

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## Example: Ambiguous Grammar

- 0 + 1 + 0



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## Example

- What is the result for:  
 $3 + 4 * 5 + 6$

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## Example

- What is the result for:  
 $3 + 4 * 5 + 6$
- Possible answers:
  - $41 = ((3 + 4) * 5) + 6$
  - $47 = 3 + (4 * (5 + 6))$
  - $29 = (3 + (4 * 5)) + 6 = 3 + ((4 * 5) + 6)$
  - $77 = (3 + 4) * (5 + 6)$

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## Example

- What is the value of:  
 $7 - 5 - 2$

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## Example

- What is the value of:  
 $7 - 5 - 2$
- Possible answers:
  - In Pascal, C++, SML assoc. left  
 $7 - 5 - 2 = (7 - 5) - 2 = 0$
  - In APL, associate to right  
 $7 - 5 - 2 = 7 - (5 - 2) = 4$

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## Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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## How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

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## Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid (\langle \text{Sum} \rangle)$
- Becomes
  - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
  - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

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## Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

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## Precedence Table - Sample

	Fortran	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

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## First Example Again

- In any above language,  $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
  - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

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## Precedence in Grammar

- Higher precedence translates to longer derivation chain
- Example:
  - $\langle \text{exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- Becomes
  - $\langle \text{exp} \rangle ::= \langle \text{mult\_exp} \rangle \mid \langle \text{exp} \rangle + \langle \text{mult\_exp} \rangle$
  - $\langle \text{mult\_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult\_exp} \rangle * \langle \text{id} \rangle$

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